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## From laboratory to bedside

FIONA WYLIE, AUSTRALIAN LIFE SCIENTIST

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"It is not that stem cell transplantation doesn't work, it is just that we need more work to figure it out." With this kind of simple optimism, and a little green jasmine tea, Professor Brent Reynolds chatted with Fiona Wylie about life, coincidence and the use of neural stem cells to treat spinal cord injury.

Brian Reynolds is one of a distinguished list of speakers making up a two-part session, "In the search for a cure for spinal cord injury - from laboratory to bedside", at the Australian Health & Medical Research Congress (AH&MRC) at the Melbourne Convention Centre from November 26 to December 1.

Reynolds moved from Canada to the Queensland Brain Institute (QBI) at the University of Queensland in 2004. His path to this point has been somewhat unorthodox to say the least, particularly for someone who published a Science paper and devised an important new tool for the entire field during his PhD.

Immediately after finishing his doctorate in 1994, Reynolds founded a company called NeuroSpheres, based on this new technology.

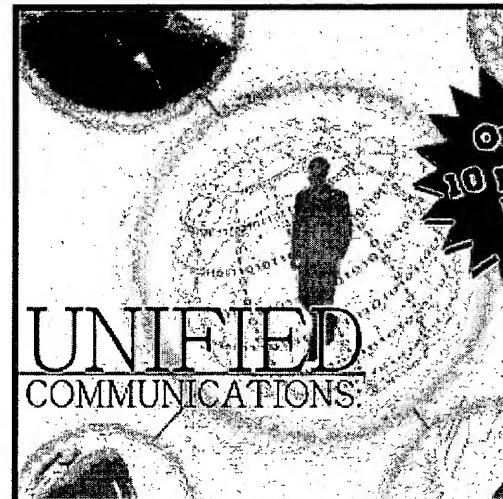
"I was the director of research and we worked with large pharma and several biotechnology companies to further develop and protect the technology," Reynolds says. "Today NeuroSphere transplantation technology is licensed to Stem Cell Inc, based in California, who are about to start clinical trials based on technology we developed and patented, which is kind of exciting."

Impressively, the technology is also the basis of Phase II trials by another company for treating stroke, and at least half a dozen clinical trials starting in 2007-2008.

The unorthodox route to the QBI began in 1997, when Reynolds opted out of science to study Chinese medicine. He and his family spent the next few years between Thailand, running a yoga centre, and Salt Spring Island off the west coast of Canada, where Reynolds had a Chinese medicine clinic.

The lure back to science came in 2002 when an old university friend in Vancouver, who was head of business development with a company called StemCell Technologies, contacted him because the company wanted to get into the neural stem cell field.

"Things weren't working, he heard that I had moved to the west coast so he asked if I



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would come and have a look at this stuff, and I started going to help him one day a week," Reynolds says. "It was also near a really good yoga teacher."

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To help out, Reynolds got on the phone to former contacts looking for technology to license. One of these was Rietze, who used to work with Reynolds at NeuroSpheres. Rietze had just moved from Melbourne up to Queensland with Professor Perry Bartlett to set up the QBI.

It seems the next step was meant to be - Reynolds and his family had just been convinced by a friend from TI that Australia, and particularly Brisbane, was a great place to live.

"So Rod arrives and is telling me all about this new institute and says I should come and work with them in Brisbane. It was perfect."

### **Neural stem cells**

Since his arrival in the Sunshine State, Reynolds and his team at the QBI have been developing methodology (to be patented) to identify and expand distinct cell populations within a heterogeneous milieu of neural stem cell culture to benefit transplantation therapies, in particular spinal cord injury.

"The existence of stem cells in the adult mammalian central nervous system (CNS) and our ability to isolate and expand them ex vivo provides a number of therapeutic opportunities when it comes to treating spinal cord injury," Reynolds says.

Cell transplants into nervous tissue have been going on in animal models now for two to three decades. Primarily, the work has been done in rodent models of Parkinson's disease, with over 1000 reported studies of transplants into the brain. Human studies have also been carried out, with 300 to 400 people receiving human foetal tissue transplants.

Basically, there were lots of promising results, and some not so promising results. Reynolds uses this historic work to highlight the two main problems with neural cell transplantation, which he will discuss along with ways to solve these problems at the AH&MRC.

Firstly, there is never going to be enough primary foetal tissue available for transplants, especially given the ethical and moral issues to be considered, he says. The primary requirement in this field is therefore a renewable source of stem cells.

One possibility is embryonic stem (ES) cells, differentiated down the neural lineage and grown up in culture for transplants. The problem with ES cells is that they are undifferentiated cells to start with and there is a chance, only slight, that one of those cells doesn't terminally differentiate and grows to form a tumour after transplantation.

"All you are going to need is one tumour in one patient, and it will kill the whole field," he says. "That is what happened in the late '90s with gene therapy."

This issue is highlighted by a paper just published in *Nature Medicine* showing that human ES cells differentiated into dopamine neurons and transplanted into a rodent model of Parkinson's cured the symptoms of the disease. A few animals, however, developed tumours. The solution is better ways to sort cell populations early on in the piecemeal process. Reynolds' group is also working on assays to do this.

The other possible renewable source are neural stem cells grown as neurospheres, which are clusters of cells grown in tissue culture from primary neural stem cells isolated from either adult or foetal tissue. These neurospheres can be grown up in large quantities in vitro for transplantation into patients.

As mentioned, Reynolds actually developed the neurosphere assay (NSA), which is now widely used to isolate, propagate and enumerate stem cells derived from the CNS. It is now recognised, however, that not all the neurospheres in a culture are derived from stem cells as first thought. About 90 per cent come from progenitor cells and the numbers of stem cells represented by the NSA are largely indeterminate. Reynolds is also developing assays to address this problem.

### Proliferation

The second major problem with growing neural stem cells as neurospheres is that only about 10 per cent of them turn into neurons. When the cells are given growth factors in culture to drive proliferation, it seems to push them predominantly down the astrocyte lineage (approximately 90 per cent).

Since generally only one to 10 per cent of transplanted cells survive, the numbers of cells needed for the treatment of one patient becomes unreasonably large.

"People have tried very hard and for a long time and push cells down the neural pathway and basically, it just doesn't work," he says.

Hence, a need existed for a more accurate way of determining and purifying precursors cells. "We have to know what we are transplanting into patients."

Reynolds' team has come up with a new assay, called the neuroblast assay, which increases the number of neurons that are produced from neurospheres. These are then sorted to give a purity of about 90% neurons. The successful implementation of this technology also depends on being able to identify distinct population of cells within the heterogeneous population of stem and progenitor cells.

"We need to know exactly what is in the culture dish, and what each patient receives in a reproducible way."

Variable and indeterminate combinations of neuronal and other CNS cells are the most likely cause for the negative effects seen with those early transplants into Parkinson's patients. Part of the technology developed by the team at QBI is focused on sorting the expanded cells to address this exact issue.

Ideally, they will be able to take stem cells from an adult donor, grow them up in tissue culture as neurospheres, sort out the neuronal and non-neuronal cell types, and then reproducibly transplant for each individual. The procedure would additionally allow controlled mixing of the sorted cells.

"You may not want to transplant all neurons - you may want to use 60 per cent neurons and 40% astrocytes. There are some transplant papers that report better results when neurons are transplanted with astrocytes."

The ultimate aim for this research is to have a renewable and defined source of neural stem cells that can be used for different patients to treat spinal cord injury, stroke, Parkinson's disease and more.

"Obviously this is just the first step, but we now have a way of figuring out what we need to."

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### **Use of gene therapy in central nervous system repair.**

#### **Review article**

Acta Neurologica Scandinavica. 109(1):1-8, January 2004.  
*Tinsley, R.; Eriksson, P.*

#### **Abstract:**

Recent advances have increased our molecular understanding of the disease. In order to realize the clinical benefits of these findings, new modalities such as CNS gene therapy. Although the field has suffered setbacks, it remains a promising therapeutic option. In fact, the first gene therapy clinical trial for Parkinson's disease has been applied in animal models, and how it may be used to treat diseases and trauma in human beings. Furthermore, it explores how such therapies can augment, more conventional therapeutic approaches.

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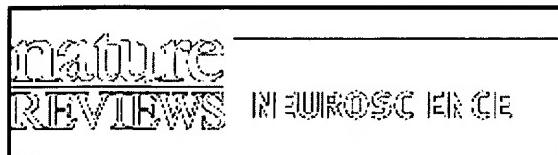
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## Perspective

Nature Reviews Neuroscience 7, 75-84 (January 2006) | doi:10.1038/nrn1829

### Opinion: Gene therapy: can neural stem cells deliver?

Franz-Josef Müller<sup>1,2</sup>, Evan Y. Snyder<sup>1</sup> and Jeanne F. Loring<sup>1</sup> [About the authors](#)

#### Abstract

Neural stem cells are a self-renewing population that generates the neurons and glia of the developing CNS. Neural stem cells have been considered for use in cell replacement therapies in various neurological disorders. An unexpected and potentially valuable characteristic of these cells has recently been revealed — they can be attracted to areas of brain pathology such as ischaemic and neoplastic lesions. Here, we speculate that stem cells might be exploited as delivery vehicles for gene therapy in the CNS.

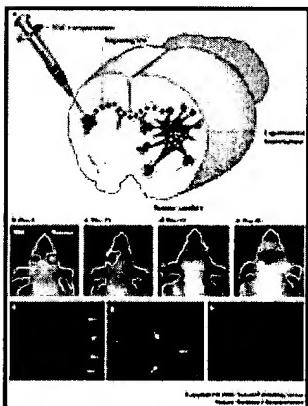
Neural stem cells can be defined operationally as cells that can continuously self-renew and have

intermediate and mature cells of both glial and neuronal lineages<sup>1</sup>. There are various subpopulations restricted to particular developmental stages or regions of the mature brain, and each of these specific biological features<sup>2,3</sup>. It remains unclear whether cultured cells that are derived from the operational definition of neural stem cells — multipotency and the ability to self-renew — are indeed have been reported *in vivo*. In addition, as there are few consensus criteria that can be used to define cells known as neural stem cells in one laboratory may differ considerably from similarly named. For the purposes of this review, we use an inclusive view, assuming that cells that are called neural stem cells by investigators do have common features that allow generalization. However, we do add the caveats that a particular neural stem cell line or preparation might not apply to all populations (for more detail, see Refs 3–6; for reviews, see Refs 2,7,8).

## Neural stem cell homing and drug delivery

The migratory abilities of endogenous and exogenous neural stem cells are well known, and it has been shown that these properties, along with the cells' differentiative abilities, might be harnessed for replacement therapy in disease. In 2000, some reports showed for the first time how these cells might be used in a novel way to deliver therapeutic substances to specific sites in the brain<sup>9, 10, 11</sup>. These reports showed that transplanted into animal models of brain neoplasia were found near metastatic tumour cells far from the site of transplantation<sup>9, 10, 11</sup> (**Figs 1,2**). These observations suggest that neural stem cells engineered to be targeted might be used to track down and destroy malignant cells. This opens up a possible new realm for therapeutic systems. If neural stem cells could be viewed solely as restorative cell therapeutics, the cells could help to solve one of the most challenging problems in cancer therapy — how to target therapeutic genes to diseased tissues.

**Figure 1 | Neural stem cell homing in brain tumours.**

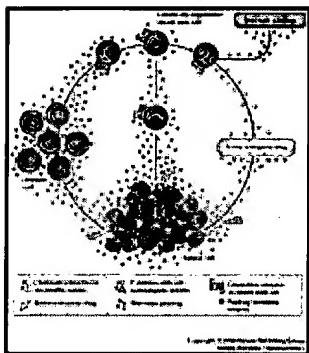


a | Transplanted neural stem cells (NSCs) showing tropism for malignant cells in rodent models. Exogenous neural stem cells implanted at sites distant from experimental brain tumours have been believed that this phenomenon could be exploited to track down widespread metastatic CNS pathologies using therapeutic systems into brain malignancies. Panels show a time series for murine neural-stem-cell lines transfected with the 'luciferase' gene (Luc) and implanted into one hemisphere of experimental mice. Bioluminescence emission imaging of Luc expression for these animals is shown on day 9, day 15, day 22 and day 28. b | Experimental tumour (black circle in b) was evident from day 15. c–e | Pathotropism of human glioma cell line<sup>2</sup>. f | Distribution of the cells (red) within a U87 (a glioblastoma cell line) xenograft (arrowheads point to nuclei shown in purple). g | Distant tumour satellite of a U251 (a glioblastoma cell line) xenograft. A nearby blood vessel is marked by an asterisk (\*). Note that neural stem cells can migrate transcallosally from the main tumour mass and also infiltrate small tumour satellites that have dislodged from the main tumour mass. h | Proximity of a blood vessel to a tumour satellite.

blood vessel (green) in a U87 xenograft. Panels b–e reprinted, with permission, from Ref. 82 © Panels f–h reprinted, with permission, from Ref. 23 © (2005) Neoplasia.

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## **Figure 2 | Determinants of neural stem cell homing to brain tumours for delivery**



Neural stem cells express various receptors for chemoattractant signals as a result of brain path chemoattractants are chemokines such as stromal cell-derived factor 1 (SDF1, also known as chemokine CXCL12) and monocyte chemoattractant protein 1 (MCP1, also known as chemokine (C–C motif) ligand 2). They also express other chemotactic proteins such as vascular endothelial growth factor (VEGF). Stem cells can be genetically modified to express enzymes that metabolize non-toxic prodrugs locally, thereby allowing production of the active form of the drug at the site of delivery. They can also produce cytokines that act directly on the tumour or activate immune cells, which, in turn, attack the tumour.

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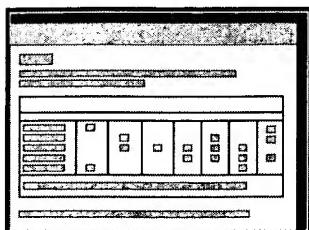
The characteristics of neural stem cells make them suitable as therapeutic delivery vehicles for cancer. Compared with other cell types that might be considered for this purpose. Unlike fibroblast cells, which migrate throughout the body to regenerate damaged tissues in various organs, neural stem cells have the potential to integrate seamlessly into the host brain without causing damage. For example, neural stem cells could differentiate into glia or neurons, but are unlikely to become cancerous. Neural stem cells can self-renew and propagate for long periods, and are therefore amenable to the techniques required for gene therapy. Because stem cells can disperse throughout the brain after transplantation, the use of these cells is preferable to multiple stereotactic injections for the delivery of molecules that require distribution throughout the brain. For example, they have been used for enzyme replacement in lysosomal storage diseases<sup>12</sup>. Another characteristic of neural stem cells that makes them particularly suitable for targeted delivery is their tropic behaviour toward neoplasms, which could be exploited to target cancer cells that have infiltrated the brain or satellite malignant cells after main tumour resection.

### **Neural stem cell pathotropism**

Neural stem cells (endogenous and transplanted) seem to be attracted to various experimental models of disease, such as cancers and areas of neurodegeneration. For example, neural stem cells have shown tropism for degenerating spinal cord motor neurons in a transgenic mouse model of **amyotrophic lateral sclerosis**.

1). Neural stem cell cancer tropism is not limited to primary brain malignancies and has also been shown to affect secondary brain lesions.

**Table 1 | Disease models with neural stem cell tropism**



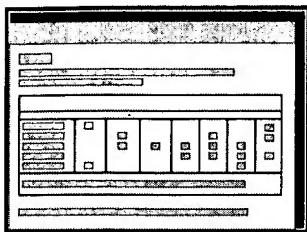
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The fate of neural stem cells in the presence of lesions is not well understood, because most pre-clinical studies have focused on markers (for example, reduction in tumour burden or survival time after treatment)<sup>9, 16</sup>. In certain types of brain lesions, transplanted cells appear to form astrocytes and neurons<sup>17</sup>. A glial fate may not be ideal, as it may represent neuronal differentiation that could participate in abnormal, possibly damaging, circuits.

The normal course of neural stem cell development and migration *in vivo* is controlled primarily by environmental factors in the regions of the brain that harbour neural stem cells. The microenvironments surrounding neural stem cells include astroglia, microglia and endothelial cells, which are important regulators of stem cell generation and maintenance during maintenance of brain homeostasis<sup>18, 19, 20, 21, 22</sup>. Disturbances in the environment due to disease processes can affect stem cell behaviour by disrupting the environmental equilibrium and exposing the cells to new stimuli that they encounter. For example, gradients of factors such as vascular endothelial growth factor (**VEGF**) and stromal cell-derived factor 1 (**SDF1**), which emanate from distant brain lesions, may act as attractants for stem cells<sup>23, 24</sup>.

In attempting to predict the behaviour of stem cells in the brain, it is important to consider both endogenous and exogenous factors that are transplanted to the brain. Endogenous and transplanted neural stem cells are often found to exhibit different properties compared to those in the original brain pathology, but there are some important differences to keep in mind. Cultured neural stem cells can expand in culture well beyond their expected proliferative capacity *in vivo*. Because culture conditions can alter the phenotype of cells, culture could markedly alter the cells' response to their environment when transplanted. For example, a recent study showed that exposure to the mitogen epidermal growth factor (**EGF**) may convert the stem cell phenotype to neuronal progenitors<sup>4</sup>. Until more is known about the receptors expressed by neural stem cells and their effects on genetic, epigenetic, transcriptional and translational levels, information about exogenous factors must be interpreted cautiously to avoid erroneous interpretations of endogenous stem cell behaviour<sup>5, 6, 8</sup>.

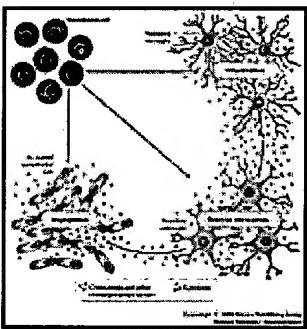
The molecular basis of neural cell pathotropism is not well understood and different pathologies can lead to different molecular mechanisms. Cultured neural stem cells express a wide variety of receptors that should enable them to respond to environmental cues emanating from brain pathologies (**Table 2**). Experimental studies show that they home to localised sites of damage after transplantation (**Table 1**). Some factors that can be held responsible for this phenomenon below include chemokines<sup>25, 26</sup> (chemotactic cytokines; **Table 2**). Chemokine and cytokine production is a common feature of stroke and brain malignancy, which suggests that these factors could be important in mediating neural stem cell pathotropism<sup>24, 25, 26</sup>.

**Table 2 | Cytokines potentially involved in neural stem cell pathotropism**

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**Regulation of neural stem cell tropism**

The available information suggests that there are at least three important physiological processes that regulate the behaviour of transplanted neural stem cells: inflammation, reactive astrocytosis and angiogenesis.

**Figure 3 | Determinants of neural stem cell pathotropism.**

Neural stem cells are attracted by at least three physiological processes that are common to many types of pathology: inflammation, reactive astrocytosis and angiogenesis. Pathology-induced CNS inflammation is mediated by activated microglia and macrophages that release cytokines and chemokines, which, in turn, increase the inflammatory reaction (for instance, the interleukin-6, **IL-6**, and monocyte chemoattractant protein 1, MCP1, also known as chemokine C-C motif ligand 2, CCL2). Gradients of these factors can also attract neural stem cells. The brain lesion and subsequent inflammation trigger signals emanating from inflammation, activated astrocytes secrete chemotactic factors (for example, SDF1, also known as chemokine (C-X-C motif) ligand 12, CXCL12, and vascular endothelial growth factor, VEGF), which can act both as chemoattractants for neural stem cells and as promoters of pathology-induced angiogenesis. Angiogenesis and inflammation-activated endothelial cells enhance neural stem cell homing to the lesion site by secreting chemoattractant factors (such as SDF1), and also offer an atypical, perivascular niche for supporting stem cell proliferation.

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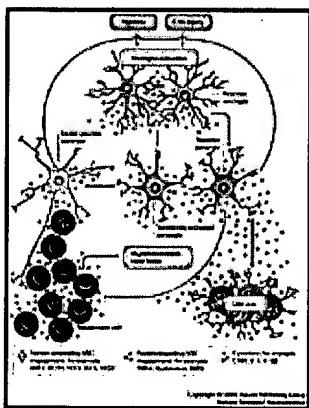
**Inflammation.** In vitro, microglia can induce neural stem cell migration<sup>22, 27</sup>. It is an attractive

inflammatory response to brain pathology is the common denominator responsible for the seen cells to disparate brain lesions. The prevailing view, based on studies of multiple sclerosis, epilepsy, encephalitis and brain irradiation, is that brain inflammation is detrimental to the CNS in general<sup>29, 30, 31</sup>. Microglia are the first line in defence against brain pathologies, functioning as a dam and responding to insults by producing cytokines, which, in turn, initiate further reactive responses. These cells also release neurotrophins<sup>29, 33</sup>, which would be expected to protect neurons, and microglia can modulate the mobilization of neural stem cells both in vitro and in vivo. This suggests that they are initiating and coordinating neural-stem-cell-based brain repair mechanisms<sup>22, 27, 29, 34</sup>.

**Reactive astrogliosis.** As inflammatory cytokines are released by microglia in response to a brain lesion, reactive astrogliosis, characterized by hyperplasia, hypertrophy and an increase in glial fibrillary acidic protein<sup>36</sup>. Triggers and mechanisms of this multifaceted response are not fully understood, but some factors include the proximity of the astrocytes to a CNS pathology, the type of lesion and the types of cytokine produced (IL-1 $\beta$ )<sup>35, 37</sup>.

Studies of the acute effects of inflammatory signals suggest that certain types of activated astrocytes may promote tissue regeneration and stem cell migration<sup>38, 39, 40</sup> (Fig. 4). For example, reactive astrocytes produce SDF1, which is at least partially responsible for the attraction of neural stem cells to these lesions and involved in the guidance of leukocyte and glial homing toward brain injuries<sup>41, 42</sup> and can reveal a phenotype invoked by tissue damage to a phenotype resembling radial glia in the developing brain<sup>43</sup>. These cells may facilitate progenitor migration<sup>43</sup>. Although some types of glial activation might have beneficial effects, it is believed that reactive astrocytes are thought to interfere with neuronal–glial signalling and impede neural progenitor migration by secreting factors such as slit homologue 2 (SLIT2), tumour necrosis factor- $\alpha$  (TNF $\alpha$ ), heparan sulphate proteoglycans and hyaluronic acid<sup>44, 45, 46</sup>.

**Figure 4 | Model of activated astrocyte mediation of neural stem cell homing to brain lesions.**



CNS injury, hypoxia, microglial activation and the subsequent release of inflammatory cytokines such as IL-1 $\beta$  and ciliary neurotrophic factor (CNTF) invoke complex responses known collectively as gliosis. In response to injury, some mature glia revert to a developmental, radial-glia-like state, and can directly mediate the attraction of neural stem cells towards brain lesions. Cytokine release also causes transient activation of astrocytes. These transiently activated astrocytes may secrete a source of chemoattractants (such as stromal cell-derived factor 1 (SDF1), vascular endothelial growth factor (VEGF), monocyte chemoattractant protein 1 (MCP1)) that act on neural stem cells (NSCs)<sup>3, 4, 5, 6</sup>. SDF1 may direct NSCs to migrate toward the lesion site, while VEGF may promote angiogenesis and MCP1 may recruit immune cells to the site of injury.

toward brain pathology along non-stereotypical routes<sup>4</sup>. Other factors (such as fibroblast growth growth factor 1 (IGF1)) supplied by reactive astrocytes support neural stem cell proliferation, so astrocytes proliferate reactively, become hypertrophic and increase their glial fibrillary acidic protein eventually results in the formation of a tightly compacted astrogliotic scar, which is the source of (SLIT2), tumour necrosis factor- $\alpha$  (TNF $\alpha$ ) and hyaluronan) that repel neural stem cells and might regenerative capacity<sup>3, 8, 9</sup>.

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**Angiogenesis.** Evidence is emerging for an intimate relationship between CNS morphogenesis and basal lamina produced by endothelial cells contains many components that are believed to be in neurogenic niche<sup>21, 49</sup>. Therefore, endothelial cells could also be involved in the regulation of brain pathology. Vasculogenesis resulting from brain pathology could enhance neural stem cell mobilization chemoattractants such as VEGF. VEGF-mediated homing of stem cells might have a key role in stem cell glioma tropism<sup>23, 50, 51</sup>. In addition, SDF1 is expressed by endothelial cells as well as could be important for attraction of neural stem cells<sup>24</sup>. Because neural stem cells seem to interact with endothelial cells from the luminal side, adhering and transmigrating in a similar fashion to leukocytes can be delivered via the bloodstream. In support of this idea, a recent report shows that neural stem cells in the bloodstream in a mouse model of multiple sclerosis establish atypical niches around blood vessels in an undifferentiated state and appear to suppress the inflammatory process<sup>53, 54</sup>.

### Choosing a vehicle for delivery

There is considerable diversity among neural stem cell lines and they may not all be equally suitable for delivery. A suitable delivery vehicle would be stable in tissue culture and capable of sustained, preferably immortalized, proliferation. The cells should have predictable and appropriate differentiation patterns in culture and survive long term in vivo without forming tumours. For the therapeutic strategy to be effective, it is important to demonstrate responsiveness to the chemotactic signals produced by the type of pathology that the cells would encounter. This would be a means for facile delivery of the cells (for example, via the bloodstream).

**Should cell lines be immortalized?** Historically, non-tumour cells had to be immortalized sufficiently to facilitate their characterization. Immortalizing cells usually involves introduction of oncogenes to expand beyond the time at which they would normally reach senescence. Identification of genetic markers of non-immortalized neural stem cells has made immortalization less necessary, and the use of immortalized cells as research tools and in clinical settings. Immortalized neural stem cells appear to be atypical of most neural stem cell populations, such as extraordinary migratory abilities in vivo (which may be a concern for safety), and a higher degree of multipotency, which may increase the probability of tumour formation by chance.

However, there are some cases in which oncogene immortalization is an asset. Safety concerns include the value of the more pronounced invasiveness and migratory capabilities of immortalized neural stem cells. Immortalization can allow propagation of cells with definable properties almost indefinitely, so particular traits can be established. Furthermore, if immortalized cells could be shown to be reliable, it would be much easier to control their quality than the quality of primary cell preparations for use in clinics. Cells could be subjected to much more thorough analysis.

**Primary cells for transplantation.** Although it can be argued that the ideal cell type for transplanting the endogenous neural stem cell as possible, there are some serious limitations to the use of primary stem cell reconstitution of bone marrow, it is unlikely that a single neural stem cell or small group will regenerate damaged brain tissue, so expansion of cells in culture will be required. How much expansion and what sufficient number of viable stem cells for a successful transplant has to be determined empirically.

In vitro culture creates its own problems. There are many neural stem cell lines and preparations available under different conditions from diverse sources and maintained under a wide variety of culture conditions. To be used clinically, an important challenge will be for investigators to agree on a common set of characterization criteria.

**Human embryonic stem cell-derived cells: can they be effective and safe?** Human embryonic stem cells have several powerful advantages over other types of stem cell for therapeutic approaches. ES cells are pluripotent cells of the inner cell mass of blastocyst-stage embryos. Unlike neural stem cells, differentiation is not limited to elements of the nervous system. ES cells are also immortal and do not undergo senescence after prolonged culture. Perhaps most importantly, the focused efforts to characterize ES cells in many laboratories mean that they form the same well-studied cell populations. This reduces the problems of reproducibility and quality associated with other stem cells. Human ES cells can be induced to differentiate along neuronal lineages<sup>59</sup> and they resemble somatic neural stem cells. However, there are several important challenges that must be overcome for therapeutic approaches. First, we have to anticipate that because they have not experienced normal differentiation, ES cells might not develop conventional cellular phenotypes, and this may result in unpredictable behaviour. Much data are available on the migratory potential of human ES-cell-derived neural stem cell populations and on their properties compared with neural stem cells. Furthermore, populations of ES-derived transplanted cells must be shown to be safe and effective for therapeutic purposes. It is also important to keep in mind that the use of ES cells raises concerns associated with the derivation of these cells from early embryos.

**How will we decide?** The decision for or against a certain cell preparation must be based on the potential benefits and harm, and the modern concept of evidence-based medicine. At present, a widely held view is that ES cells will be the most relevant therapeutic systems for targeting brain pathologies. However, there is insufficient information about the safety of any cell type, and there is a need for comprehensive studies that compare different cell populations. New approaches, such as improved in vivo cell tracking tools, will be important for resolution of these issues.

## Neural stem cell-based gene therapy

In the nervous system, replacement of neurons is often considered to be the main goal of cell therapy. Neural stem cells, which are already being used as gene delivery tools and for rescuing neurons rather than replacing them, are the most promising candidates for gene therapy. One of the main goals of gene therapy is that diseases that are caused by the lack of some crucial protein can be treated by introducing a functional gene into the affected cells. This idea was originally proposed for hereditary diseases such as Tay-Sach's disease. In these diseases, a mutated catabolic enzyme causes a metabolic logjam in the upstream pathway, leading to affected cells and surrounding tissue with accumulating substrates and toxic side products. Introducing a functional gene into the affected cells would restore the metabolic balance. The definition of gene therapy has been broadened to include any genetic manipulation of cells or tissue to treat diseases. Gene therapies for **Alzheimer's disease** include targeted expression of choline acetyltransferase, which therefore results in the localized delivery of small molecules, in this case acetylcholine. The choice of cells will be used therapeutically depends on the nature of the disease or damage that requires treatment.

Neural stem cells can be genetically transduced in vitro and in vivo<sup>12, 64</sup>. Currently, the most efficient way of introducing genes into neural stem cells is by means of lentiviral vectors; the chief concerns about

transgene silencing *in situ* and that integration of the transgene can activate a nearby oncogene, growing subclones<sup>58, 65, 66</sup>.

To highlight various stem cell-mediated gene delivery strategies, we discuss in more detail six diseases system that may benefit from such therapy.

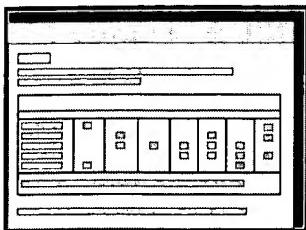
**Parkinson's disease.** A lack of dopamine in the putamen (caused by the degeneration of inner substantia nigra) has a central role in the pathogenesis of **Parkinson's disease** (PD). Systemic L-DOPA (levodopa) is an effective treatment for the symptoms of PD early in the course of the disease, but does not prevent the progression of the disease and eventually becomes ineffective. Because the degeneration is relatively localized, cell therapy, and experimental transplants of fetal dopamine neurons into the putamen were performed. In patients, the successful transplants seemed to work as dopamine pumps, similar to the systemic drug. One of the chief advantages of a transplant being a smoothing of the on–off cycle of symptoms, in which periods of being unable to move and periods of uncontrollable movement<sup>67, 68</sup>. A concern about this approach is the variability, which is partly due to the inconsistency of the fetal tissue used for transplant and is dependent on the characteristics of the disease in each patient; in a controlled study, the best therapeutic benefit was the best achievable symptomatic improvement using L-DOPA in the same patient<sup>68</sup>. The mechanism is not clear; because of the paucity of functional connections in many transplants, it has been proposed that they were acting more as gene therapy vehicles for dopamine delivery than as replacement neurons. The mechanism might not be limited to acting as dopamine pumps; in some cases, functional connections have been suggested that the transplanted cells may produce trophic factors that help to protect remaining neurons. Preclinical investigations are testing the use of genetically induced production of neurotrophic factors or neurotrophic factor (**GDNF**) or VEGF in neural stem cell transplants<sup>69, 70, 71</sup> (see also the following section on neurotrophic factor delivery in neurodegenerative diseases).

**Alzheimer's disease.** Alzheimer's disease presents a greater therapeutic challenge than PD, because it is widespread, beginning in the hippocampus, cortex and amygdala, and progressing to other regions. Therapeutic strategies for cell and gene therapy are focused on using cells to deliver neurotrophic factors. Neuronal progenitors can protect neurons from degeneration and to re-activate impaired circuitry in neurodegenerative diseases. A clinical trial using fibroblasts to deliver nerve growth factor (**NGF**) has recently been completed<sup>72</sup>. An adeno-associated virus (AAV) to deliver NGF expression vectors directly to the brain.

Most recently, we have proposed that the homing qualities of neural stem cells might be exploited for delivery with therapeutic enzymes (F.-J.M. and J.F.L., unpublished observations).

**Amyotrophic lateral sclerosis.** Experimental studies show that overexpression of growth factors such as growth factor 1 (**IGF1**) or VEGF can have beneficial effects on the course of ALS in animal models. However, this sort of therapy for clinical use is the delivery of these large molecules across the blood–brain barrier, which is likely to be the best means of increasing the production of these factors *in situ*. Cell therapy might be used to deliver these large proteins to specific areas of the CNS where they can aid in the survival of neurons<sup>73</sup>.

**Brain malignancy.** Neural stem cells seem to be attracted to certain brain tumours and this has been exploited allowing these cells to be used for local chemotherapy (**Fig. 2**). The main issues under investigation are the optimal choice of stem cell type, and the most effective therapeutic system to use (**Table 3**). So far, immortalized neural stem cell-like cells have been used in preclinical models. The large variety of therapeutic systems include viruses, prodrug-converting enzymes, immunomodulatory cytokines, proteins with anti-angiogenic properties and direct anti-tumoural activity<sup>9, 10, 11, 76, 77</sup>.

**Table 3 | Examples of studies on neural stem cell-based gene therapy in animal models**

- [Full table](#)
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**Disorders of brain metabolism.** Inborn genetic defects affecting the CNS, such as lysosomal storage diseases, are among the most promising targets for stem cell therapy. Dispersion of genetically normal stem cells in the CNS may allow for the delivery of missing enzymatic activities<sup>12, 78</sup>. The current preclinical research objectives for this approach include determining the optimal timing of treatment, which could be in utero, and the type of stem cell to use. The most straightforward example is the treatment of storage diseases that are frequently accompanied by an extremely prominent neuroinflammatory response (e.g., galactocerebrosidase deficiency (**Krabbe disease**) in humans and the twitcher mouse). Significantly, recent work has shown that neural stem cells can be induced to express anti-inflammatory molecules, such as IL-10, recently, and this finding may prove useful in protecting neural stem cells from inflammatory damage and could be applied to this and other diseases.

**Neuropathic pain.** The delivery of cells and genes to treat certain forms of neuropathic pain is an area of active research (Table 3). Potentially therapeutic molecules such as growth factors and neurotransmitters delivered by neural stem cells may be able to alleviate forms of chronic pain in animal models. An emerging conceptual aspect of these studies is that the delivery of neural stem cell derivatives — astrocytes and oligodendrocytes) might have another unexpected application; the ability of these cells to modulate pain perception by influencing neuronal circuitry and excitability<sup>80, 81</sup>.

From the pioneering work in PD to the emerging exploration of stem cell therapy for Alzheimer's disease, there is growing enthusiasm for the potential of stem cells for the treatment of various diseases of the nervous system. The challenge is to determine how best to deliver stem cells to the CNS. Stem cell delivery has the potential to maximize the therapeutic impact of drugs. However, most stem cell therapies are still in the preclinical testing phase and will have to pass significant hurdles to become viable therapeutic options.

## Summary

Neural stem cells could be exploited as delivery vehicles for therapeutic molecules to treat CNS disorders. The challenge is to determine how best to deliver stem cells to the CNS. The challenges of this approach are in determining which neural stem cells are appropriate for each application, what molecules should be delivered, and what diseases are suitable targets for this approach.

It is important to remember that the current dominant concept in this field predicts that neural stem cells will not be used for cell replacement therapy. Although experiments continue to be designed with the expectation that they will yield positive results, we must remain open to unexpected findings that will yield surprising new interpretations. We will benefit from remaining receptive to unconventional approaches to CNS diseases that will lead us to future discoveries that we cannot imagine today.

## Links

### DATABASES

## OMIM

- Alzheimer's disease
- Amyotrophic lateral sclerosis
- Krabbe disease
- Parkinson's disease

## Entrez-Gene

- VEGF
- SDF1
- EGF
- GFAP
- IL-1 $\beta$
- SLIT2
- TNF $\alpha$
- GDNF
- NGF
- IGF1

## FURTHER INFORMATION

- Clinical trial results, Alzheimer's Disease Education & Referral Center
- The official National Institutes of Health resource for stem cell research

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## Review

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### International spinal research trust research strategy. III: A discussion

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### Abstract

#### Study design:

Discussion document.

#### Objectives/methods:

To review the Research Strategy of the International Spinal Research Trust (ISRT), which identifies research that are likely to be beneficial in developing potential treatments for spinal cord injury intended to both guide the programme of research towards areas of priority and stimulate discussion. This latest document has been developed to take into account the scientific progress in previous Research Strategy.

#### Results/discussion:

The latest scientific developments in research designed to repair the spinal cord and restore function might impact on spinal cord injury research are highlighted.

#### Sponsored by:

ISRT.

**Keywords:**

spinal cord injury, regeneration, ISRT

## Introduction

The International Spinal Research Trust (ISRT) is committed to developing treatments to cure spinal injury. For over 25 years it has pursued this goal by funding scientific research proposals from basic to clinical studies. Recently, it has recognised the need to improve the scientific basis for assessment of spinal cord recovery. It has committed funding to developing such techniques and training scientists in their use. It is intended that these measures will provide a resource for use in clinical trials worldwide, and will enhance the detection and prediction of recovery in such trials.

The record of achievement of the ISRT in promoting basic and clinical research leading to better injury is second to none: it is the pre-eminent UK organisation in this area, and funds international

Although by no means the largest funding organisation in this area, ISRT is consistently at the forefront and has developed an enviable reputation for 'punching above its weight' compared with larger organisations. The reasons for this is the ISRT Research Strategy, which has been developed by the Scientific Committee, and the efforts in particular areas of research.

The first ISRT research strategy document was published in by Harper *et al* in 1996,<sup>1</sup> and was re-  
the second research strategy.<sup>2</sup> These documents established a coherent research strategy by an-  
attention in order to achieve the overall objectives of the ISRT – to repair the damaged spinal co-  
strategy documents identify key areas for funding and support, which enables basic and clinical  
proposals, and the Scientific Committee and external reviewers to judge each proposal accordin  
programme of research to be steered towards areas of priority. In addition, the document has p

The unprecedented success of spinal cord injury research in the past few years has resulted in significant progress. However, many areas of research were not covered by the previous research strategy. Consequently, ISRT have updated this document to reflect the latest findings and to ensure that all relevant areas of research are addressed.

The following document, which identifies priorities for basic and clinical research in the coming years in conjunction with, the existing research strategy.<sup>2</sup> In general, ISRT expects applications to be influenced by and to refer directly to the themes described in this strategy document, but also to explore novel approaches as they are developed.

In addition to promoting experimental and clinical studies, ISRT considers it vital to promote it scientists and clinicians on the merits, risks and scope for interventions in the aftermath of SCI inflammatory, anti-proliferative, neuroprotective and immunosuppressive drugs. This should include testing capabilities of existing large study groups worldwide, for example, via the International Paralysis (ICCP) Clinical Trials Workshops (<http://www.campaignforcure.org>), the European (<http://emsci.org>) and the North American Clinical Trials Networks (<http://www.christopherreeve.org/site/c.geIMLPOpGjF/b.1048737/k.322D/North>) with a view to fostering well-founded clinical best practice.

The targets that form the Third ISRT Research Strategy Document reflect current progress in sr

were highlighted in the earlier Research Strategy, whereas the importance of others has been re- previously, overall strategy is divided into two themes: the vertical targets represent experiment capabilities indicate the means by which the vertical targets are likely to be fulfilled.

## **Vertical targets**

- VT1. Early trauma/inflammation and scar tissue
- VT2. Inhibitory and facilitatory influences
- VT3. Guiding regrowth
- VT4. Spared spinal cord cells and fibres
- VT5. Cell- and gene-based therapies
- VT6. Combinatorial therapies
- VT7. Complementary therapies

## **Horizontal capabilities**

- HC1. Animal models
- HC2. Measuring regrowth and restoration of connectivity
- HC3. Clinical trials
- HC4. Collaborative research

### **Vertical target 1**

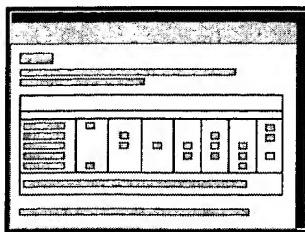
#### **Minimising the deleterious effects of early trauma, inflammation and scar tissue**

Much of the post-traumatic tissue damage and subsequent neurological deficits associated with events that are initiated by the original injury. Thus, spinal injuries comprise a primary zone of secondary injury, and neuroprotective strategies that either reduce or prevent the spread of secondary greater recovery of function.<sup>3, 4, 5</sup> Many of the mechanisms responsible for these secondary pro further understanding of the detailed molecular and cellular mechanisms involved in early traum glial scarring is vital to provide direction for the rational development of therapeutics to minimi function following injury. This is the rationale behind treatments such as methylprednisolone,<sup>6</sup> as GM-1 gangliosides (which also promote plasticity)<sup>7</sup> and newer developments such as the tetr

Spinal cord injury should not be regarded in isolation. There are similarities in the mechanisms of ischaemic and traumatic brain injuries,<sup>9</sup> and some potential therapeutics have already been tested in brain injury. The lessons learned from these (relatively unsuccessful) trials should provide valuable injury community regarding clinical trial issues such as the need for controls and careful dose str Therefore, in addition to developing new therapies, an important role of the ISRT is to promote research and clinicians, and the critical evaluation of the merits of these existing and potential treatment

However, because most neuroprotective strategies have not had particularly substantial effects i priority to increase the understanding of cell death after acute spinal injury and the conditions t (**Table 1**; VT1.1), in order to develop newer, more powerful therapies.

**Table 1 - Vertical target 1: Minimising the deleterious effects of early trauma, inflam**



### **Full table**

It remains important to characterise the effects of injury on major spinal cord components, such as white matter, the composition and effects of the glial scar, vascular effects and the role of inflammatory mechanisms of secondary cell death, and the factors that lead to cyst formation (**Table 1**; VT1.1), the contribution of these events to functional deficits (**Table 1**; VT1.2), and to accurately and inappropriately model therapeutic agents on spinal cord function and behaviour. It should then be possible to develop both by rational mechanistic drug design and by screening drug libraries in appropriate models models, it is also necessary to further our understanding of human spinal injury (**Table 1**; VT1.3) and markers of early traumatic injury in humans (**Table 1**; VT1.4).

### **Exclusions and future issues**

Work in non-spinal cord models should be explicitly justified. Funding for the acquisition of new models of inflammation etc. outside the spinal cord, for use in SCI research, will be considered under this Horizontal capability 4.

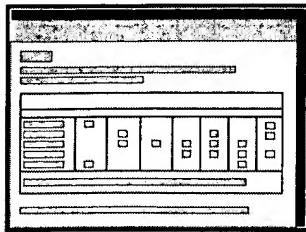
### **Vertical target 2**

#### **Inhibitory and facilitatory molecules**

The inhibitory effects of the CNS on axon growth are well documented, as is the ability of peripheral nerve regeneration. The consensus is that there are several possible reasons why peripheral nerve grafts do not regenerate. One possibility is that inhibitory cues that are present in the CNS are absent from the PNS. The second is that CNS neurons do not express the same set of neurotrophic factors and contain other regeneration-promoting proteins such as cell-surface proteins that inhibit regeneration. A third possibility is that inhibitors that are present in PNS grafts are localised and released during regeneration. Thus, it is established that chondroitin sulphate proteoglycans (CSPGs), some of which are present in both the PNS and the CNS, but their expression, stability and localisation/cellular distribution varies between them. Some types of CNS neurons do not grow into peripheral transplants.<sup>11</sup> This may be because of the nature of the PNS grafts, or because these CNS neurons are unable to mount an appropriate response of regeneration.

Therefore, research into inhibitory and facilitatory molecules falls into three categories: the development of reagents that can inhibit or facilitate axon growth (**Table 2**; VT2.1), the production of reagents that can increase facilitation (**Table 2**; VT2.2), and the investigation of how other approaches, such as gene transfer, interact with inhibitory/facilitatory molecular mechanisms (**Table 2**; VT2.3).

#### **Table 2 - Vertical Target 2: Research into inhibitory and facilitatory molecules.**

**Full table**

The idea that the presence of inhibitory molecules causes regeneration failure was proposed by culture<sup>12</sup> and then in the injured spinal cord,<sup>13</sup> on the basis of a molecule present on the surface molecule is now known as Nogo. Blocking Nogo promotes either regeneration<sup>13</sup> or beneficial sp

Nogo is one of several inhibitory molecules that are associated with CNS myelin, with other can glycoprotein and oligodendrocyte myelin glycoprotein. Subsequently, inhibitory molecules of th families have been found to be associated with astrocytes and fibroblasts<sup>16, 17, 18, 19, 20</sup> and a c these various inhibitory molecules has been described.<sup>21</sup> It is likely that increased molecular ch inhibitory pathway will help in the development of new therapies.

In addition to the lack of inhibitory molecules, it is proposed that peripheral nerve grafts suppo secretion of trophic factors. Many studies have shown that growth factors upregulate RAGs and growth,<sup>22, 23, 24</sup> and genetically modifying transplants to overexpress neurotrophic factors mig Thus, an effective combination that enhances positive factors and reduces negative ones is an at

So far the molecular response to neural injury has been studied mainly at the level of changes in proteins. This has led to the discovery of several molecules that are important in regeneration. T projects have identified most human and rodent genes. This enables high-throughput screening proteins, which will be instrumental for elucidating the molecular mechanisms that underlie reg in a more complete picture of the molecular changes that occur in neurons and glial cells after i of proteomic techniques coupled to web-based databases and data-analysis tools is likely to ide to pinpoint novel targets for pharmacological and cell- and gene-based intervention strategies. C processes that underlie regeneration is still very limited, so incorporating genomic and proteom neuroregeneration research is vital to progress in this field.

### **Vertical target 3**

#### **Guiding regrowth and establishing appropriate connections**

Several existing therapies promote the regeneration of injured axons in long, white-matter path being developed to bridge spinal injury sites using synthetic biomaterial implants.<sup>26, 27</sup> Howe special environment that these therapies provide, to cross scar tissue associated with the injury beyond the scar has proved a major problem.

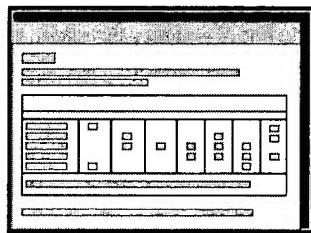
Given that the number of axons that do regenerate and regrow is likely to be small compared wi important to understand how fibres behave once they have reconnected with the spinal cord bey extreme, it might not be necessary to guide regrowing fibres to their appropriate targets if intr CNS can exploit any connectivity to achieve some recovery of function. At the other extreme, ne ineffective or even add to secondary problems such as spasticity and increased pain levels. We a factors that guide axons to their eventual target by attraction and repulsion, including factors th

tracts until they descend (or ascend) to the level appropriate to penetrate the grey matter.<sup>28, 29</sup> matter, ephrins, netrins, semaphorins and related molecules guide axons and regulate midline c termination.

It is probable that the main national research funding bodies will continue to invest in this area expanding the 'library' of agents that guide growing and regrowing axons. ISRT should adopt a knowledge into spinal injury studies and promote research into:

- The nature and temporal course of new synaptic connectivity after SCI, using histological (**Table 3**; VT3.2).
- The key guidance/trophic factors – how they are affected by SCI, particularly in regions at (**Table 3**; VT3.1, VT3.2), and whether their expression can be modified to encourage appropriate VT3.3).
- The potential of axonal sprouting and synaptic plasticity for regeneration and useful re-innervation.
- The ability of trophic factors to restore axonal growth *per se* and to influence the re-establishment (**Table 3**; VT3.4).

**Table 3 - Vertical Target 3: Guiding regrowth and establishing appropriate connections**



**Full table**

#### Vertical target 4

#### Assessing the natural history of SCI and optimising spared spinal cord cells and neural circuitry

Except for a few open or penetrating injuries, there is spared spinal cord tissue in most cases of complete. Tissue sparing has important consequences, both in terms of the function of the residual white matter and the potential for functional recovery. In animal models, fibres passing through the ventrolateral funiculi, including reticulospinal tract, are important for functional recovery of hindlimb locomotor function.<sup>31</sup> In humans, the importance of spared corticospinal tract is less clear, while damage to corticospinal fibres has more serious effects.<sup>32</sup>

The minimal sparing of white matter, in terms of either area or axonal number, that is compatible with weight-bearing ambulation in cats,<sup>33</sup> <25% for non-human primates<sup>34</sup> and <10% for humans.<sup>35</sup> Minimal deliberate movement, such as dorsal and plantar flexion of the foot, has been reported in humans with only 3.5–10% of corticospinal tract remaining at the lesion level.<sup>35</sup> The idea that a limited number of nerve fibres is sufficient for function has encouraged some researchers to formulate in the belief that a few new axons that cross the lesion site and connect somewhere else are sufficient for functional recovery.

After total spinal cord transection in laboratory animals, weight-supported, unassisted stepping is possible using spared white matter circuitry below the level of the transection, without supraspinal control.<sup>11</sup> This is not the case in humans, as the motorneurons pools can be activated by proprioceptive sensory inputs generated by treadmill exercise in some cases of incomplete SCI.<sup>36</sup> The surviving supraspinal motor input to the spinal cord is insufficient to support weight-bearing ambulation.

muscles of a single joint or to simultaneously inhibit antagonist muscles, which indicates that voluntary control is partially related to function.<sup>37</sup> After complete SCI, it appears that local, segmental, proprioceptive and descending pathways generate patterned muscle activity, but not in a sustained manner.<sup>36, 38</sup>

In animals and humans, plasticity in the motor systems has been shown at different levels from Erroneous connections made after an injury persist for many years.<sup>40, 41</sup> Early after the injury there is a period of time that might be occupied by either inappropriate supraspinal tract axons or local interneurons. This can lead to the development and establishment of aberrant reflexes that might be counterproductive in either the upper or lower limb function, for example, spasticity or aberrant reflexes. In addition, the occupation of these sites might prevent appropriate regenerating fibre systems reconnecting to the right circuits. One way to reduce this problem is to use specific neuromodulatory treatments. The development of techniques that maintain the neuron in its normal state, and thereby prepare this tissue for successful intervention.

Plasticity in the sensory systems, such as collateral sprouting, is well known and might account for the recovery of sensation below the level of the lesion and the development of neuropathic pain. Imaging studies have shown that reorganisation of the somato-sensory cortex after SCI is accompanied by reorganisation of the somato-sensory cortex.<sup>42, 43</sup> However, this plasticity might explain referred sensation from the viscera perceived in deafferentated areas. Nevertheless, large numbers of neurons might migrate from other CNS sites such as thalamus, brain stem, cuneate nucleus and spinal cord.<sup>44, 45, 46, 47</sup> This migration might be driven by pre-existing connections<sup>48</sup> and actual axonal sprouting.<sup>49</sup> To achieve functional recovery of sensory function, therapeutic interventions will need to be considered that include manipulating the biological environment to promote regeneration and functional recovery. These strategies that increase reorganisation in the CNS.

It is important to define the structure and function of the remaining spinal cord tissue (**Table 4**) and to determine how to enhance its functional capacity most effectively (**Table 4**; VT4.2, VT4.3). The clinical consequences of damage to long fibre systems and to the remaining tissue both above and below the lesion site compared with damage to long fibre systems remains to be established. Therapeutic interventions that are designed to replace lost neurons are to be considered alongside those that leave the existing tissue intact. Even if nonfunctional, remaining tissue might have a valuable role in the effects of future interventions, for example, as a scaffold for new growth.

**Table 4 - Vertical target 4: Assessing the natural history of SCI and optimising spared long fibre systems**

**Full table**

## Vertical target 5

### Cell- and gene-based therapies

Since the last strategy review in 2000,<sup>2</sup> major progress has been made in the areas of cell and gene-based therapies. These include grafting with fully differentiated tissue such as peripheral nerves, inflammatory system components such as microglia and macrophages, CNS-resident cells such as oligodendrocytes and olfactory ensheathing cells, and stem cells. Stem cells are attractive theoretically because it is envisioned that they will respond to cues and

organ systems, such as the foetal liver following injury and adult heart tissue after cardiac infarct. Embryonic stem cells are less appropriate because they can develop into cancerous teratomas. Tissue and tissue of both foetal and adult neural origin are preferred currently. Gene-based therapies where a therapeutic gene is expressed directly in the injured spinal cord or neural transplants are gene transplantation.

## **Cell-based therapies**

Cell-based therapies might act in several, distinct ways: (i) as a potential source of either trophic factors to improve the function of pre-existing spinal cord neurons; (ii) transplanted stem cells might develop to remyelinate regenerating axons; (iii) transplanted stem cells might develop into functional spinal cord neurons; those damaged by injury; and (iv) transplanted cells can serve as a substrate to support axonal regeneration. These mechanisms predominate in studies that have reported restoration of spinal cord function and different cell types act in different ways. The use of cells as a source of multiple trophic factors to provide the best outcome for neuronal regeneration impacts on Vertical targets 2–4.

There has been some work to identify the cell types that are generated *in vivo* after stem cell transplantation. Undifferentiated, neurospheres in culture can generate all types of neural cell. However, although they can survive when grafted into the rat spinal cord, they only differentiate into astro- and oligodendroglial cells. The adult spinal cord provides the molecular cues for glial, but not neuronal, differentiation.<sup>50</sup> Current procedures for differentiating, isolating and transplanting them need to be perfected. Disappointingly, transplanting neural stem cells leads to an increase in pain levels (allodynia), which is associated with damage to the spinal cord. However, forcing stem cells into a distinct lineage before transplantation avoids this outcome.<sup>52</sup> The benefits of grafting differentiated, purified cells require further study. These data suggest that preclinical studies should specifically examine the adverse effects of cell therapies.

Cell-based therapies require that the cells are readily obtainable, easy to expand and bank, and have sufficient and appropriate axonal repair. Until large-scale, well-characterised adult and differentiated stem cells are available, bone marrow mesenchymal stem cells (MSCs) are an attractive source that allows autologous transplantation. When a subject receives their own bone marrow, transplanted unpurified MSCs improve remyelination after spinal cord injury, and several studies achieve modest functional recovery.<sup>54, 55, 56</sup> Differentiation of MSCs into Schwann cells might further improve the outcome,<sup>57</sup> as might selection of MSCs from different sources to produce similarly effective cells, presumably because of the repertoire of cytokines and modulatory molecules.

An alternative source of adult transplantable cells with repair potential are olfactory ensheath interneurons, generated from cultures of primary olfactory tissue: although both are essential for the reparative process, the relationship of the two cell types is not fully understood.<sup>60</sup> Olfactory ensheathing cells encourage sprouting. However, they are likely to be more effective when combined with other treatments (see vertical target 4). Combining inhibitory cues of the scar tissue with chondroitinase ABC and providing a Schwann cell bridge to the site of lesion, where olfactory ensheathing cells promote greater functional recovery in a rat model than these treatments indicate.

## **Gene therapy**

The first viral vectors used to express a therapeutic gene in the nervous system were imperfect at response. These problems inspired the development of improved 'minimal' vectors based on adeno-associated viral vectors. These viral vectors carry a transgene under a strong viral or cellular promotor, but are virtually Adeno-associated viral-vector-mediated expression of neurotrophins has been successful in rescuing root avulsion and reversing atrophy of chronically lesioned rubrospinal neurons.<sup>62, 63</sup> In addition to gene transfer, cellular transplants have been genetically modified *ex vivo* before transplantation to guide regeneration. The steady advances made in combining new viral vector systems with a range of promising delivery vehicles holds fascinating perspectives for the development of new spinal cord repair strategies (reviewed by).<sup>64-66</sup>

Although there has been much progress in the area of cell therapy, significant questions remain in gene therapy are: (i) how to enhance the level of expression of the transgene; (ii) control of the transduced cells; (iii) the difficulty in predicting and controlling the cell types that are transduced, and some cells then others (eg scar tissue can hardly be transduced for, as yet, unknown reasons); and (iv) the use of dominant-negative proteins to overcome local action of inhibitory proteins is in its infancy. A powerful technique that might be used to overcome inhibition and to enhance the expression of

**Table 5 - Vertical target 5: Cell- and gene-based therapies.**

A screenshot of the Microsoft Word ribbon interface. The ribbon is divided into several tabs: Home, Insert, Page Layout, References, Mailings, Review, and View. Below the ribbon, there are several rows of icons for various functions like font styles, paragraph formats, and tables. A vertical scroll bar is visible on the right side of the window.

### Full table

## Vertical target 6

## Combining therapies

Several independent mechanisms contribute to the outcome of SCI. Therefore, it seems reasonable to target one specific injury mechanism are likely to have limited overall efficacy, and that might achieve a greater benefit and increase recovery.<sup>61</sup> Some published studies have combined plasticity-promoting drugs to provide proof-of-principle of this concept. It is likely that more effective and combination therapy is likely to be a cornerstone of future strategies following SCI. However, between different interactions, interpreting the effect of combining potential treatments requires careful consideration.

The potential complementarity of different therapies is crucial, and funding will only be considered a cogent case for combining individual approaches (**Table 6**).

**Table 6 - Vertical Target 6: Combining therapies.**

A screenshot of the GIMP application window showing the 'File' menu at the top. The menu is open, displaying options such as 'File', 'Image', 'Select', 'Layer', 'Tool', 'Color', 'Filter', 'Plug-in', 'Help', and 'About'. Below the menu bar, there is a toolbar with various icons, and the main workspace area is visible.

## Full table

## Vertical target 7

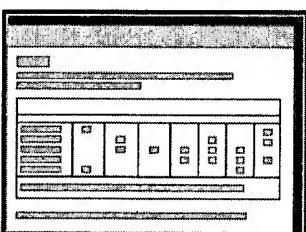
### The complementary role of different forms of electrotherapy for recovery of function after SCI

The aims of ISRT is to develop a long-term, effective treatment for SCI, based on better understanding of neurobiological mechanisms of injury and repair. Originally, therefore, functional electrical stimulation (FES) and intensive physiotherapy were not considered central to the research strategy because they did not involve actual repair of the injury. However, in the past few years ISRT and the SCI community have come to accept that FES and other forms of electrotherapy can play a significant role in the recovery of function.

The primary purpose of FES is to activate paralysed groups of muscles; for example, FES implants can help to control the bladder and to assist standing, locomotion and hand grasp. However, it is clear that, in addition, FES also has long-term 'secondary' effects on central sensorimotor mechanisms<sup>65, 66</sup> that affect plasticity in surviving fibres to make a greater contribution (see Vertical target 4).

A related point is that the development of the normal spinal cord and, probably, regeneration of at least in part, activity-dependent processes; electrotherapy methods can be used to promote neural plasticity after injury, which cannot be generated voluntarily by the patient. Finally, we know that activity in the brain above the level of the spinal injury, including the cerebral motor areas, cerebellum and basal ganglia, can show different patterns during both attempted and imagined movements. Thus, FES, rTMS and other forms of electrotherapy might all complement other, more invasive therapies and boost therapeutic effectiveness (Table 7).

**Table 7 - Vertical target 7: The complementary role of different forms of electrotherapy for recovery of function after SCI.**



**Full table**

Some forms of FES are invasive (eg sacral or lumbar root stimulators and intraspinal microstimulators). Clinicians who refuse such implants because they expect a more permanent cure to be developed in the future are encouraged to consider the chances of inclusion in future trials and treatment.

Given that the principal aim of ISRT is a long-term treatment that provides effective repair of SCI, what is the best way to develop FES and other electrotherapeutic approaches? ISRT should promote research in the following areas:

- Approaches that demonstrate the complementary role of FES in improving outcome of other forms of therapy, particularly those based on activity-dependent plasticity.
- Studies to determine the extent to which defined FES paradigms improve real-world tasks in individuals with SCI.
- The use of noninvasive methods that offer clear prospects of functional recovery, especially those based on activity-dependent plasticity.
- Improved outcome measures to assess functional improvements provoked by noninvasive forms of therapy.
- The development of long-term, stable, electrotherapy techniques that complement other forms of therapy.

## Horizontal capability 1

### Animal models

Effective experimental models are crucial for understanding the basic biology of SCI and develop (**Table 8**). Two common approaches are to use (i) a model that aims to mimic as closely as possible clinically (ie contusion injuries), and (ii) a model in which specific tracts or pathways are lesioned in a particular system to injury and its capacity for regeneration. Both approaches have their benefit. Treatments should be evaluated in both before they are developed for use in humans. Transectic animal injury model, whereas contusion represents the typical injury mechanism in humans. A large loss of alpha-motoneurons and roots associated with spinal cord contusion is little addressed in Vertical target 4), it has direct implications for rehabilitation strategies and functional outcome. for degradation of neuronal function below the level of lesion in chronic, complete SCI.<sup>38</sup> The role of regeneration-inducing therapy needs to be evaluated. In addition, the prerequisites to facilitate regenerating tract fibres and to maintain neuronal function in the postacute stage have still to be determined.

**Table 8 - Horizontal capability 1: Animal models.**

[Full table](#)

Although the majority of SCI studies to date have involved rats, genomic approaches are carried out. The development of a mouse model of SCI had priority in the 2nd ISRT strategy document.<sup>2</sup> Use of knockout and transgenic mice is likely to provide insights into the molecular components of SCI.

In each laboratory species used, the requirements of an animal model are that it is quantitative, permanent records that are open and available to other researchers. The results obtained with a model should be reproducible when used independently by other research teams.

Ideally, experiments should have a sequential design that includes:

- Longitudinal observation of the behaviour in normal animals to establish the level of variation and stabilise learning curves.
- Longitudinal observations of the same parameters after lesion to establish the degree of variation in the natural history and evolution of postlesional changes that occur without any intervention.
- The therapeutic intervention should be applied only when the postlesional situation is stable and assessment carried out as above.
- Variation must be related to the normal population variation. Postlesional variation should be because animals cannot be assumed to be uniform, and correlation with the lesion histology and location of the lesions that are associated with specific effects. In addition, posttherapeutic individual variation in histological parameters of recovery (eg number of fibres regenerated) should give valuable additional information.

## Horizontal capability 2

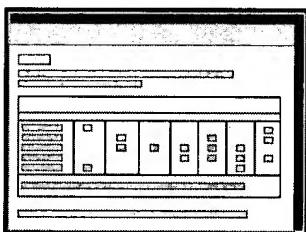
### Developing methods to measure regrowth and restoration of function

The ability to determine accurately the extent of anatomical regeneration, physiological connectivity and functional recovery is crucial for identifying a successful repair strategy. The current lack of reliable assessments of the spontaneous and treatment-induced recovery in laboratory animals and humans are fundamental to the design and potential clinical trials for SCI (see Vertical target 4).

The American Spinal Injuries Association (ASIA) score is not always fully reliable. For example, workers<sup>67, 68</sup> demonstrate that some patients who are classified as ASIA A (complete SCI) can still evoke EMG with pudendo-anal reflex (PAR). This sacral reflex is modulated by either voluntary or supraspinal control and is used as a sensitive measure of spinal cord injury.<sup>69</sup> Measuring improvements in the PAR and similar systems might be more useful than the ASIA score for monitoring progressive, postinjury changes in function, and outcome measures for future interventional trials.

Methods to detect the partial preservation of long fibre tracts are also needed because present tests are often unable to detect subtle neurological improvements. Assessments that reflect the whole clinical picture, including spasticity, are also needed (**Table 9**). Although spasticity is useful for some abilities such as transfers, it is not a good measure of functional outcome for the patient.

**Table 9 - Horizontal capability 2: Developing methods for measuring regrowth and restoration of function**



**Full table**

To some extent neurophysiological and functional assessments can differentiate between the components of functional recovery: compensation, neuronal plasticity and regeneration to improvement of function following SCI.<sup>70</sup> In particular, functional outcome measures, such as the ASIA score, should give information about the impact of any new interventional therapy on the functional outcome of the patient and of the peripheral nervous system.

Most patients recover significant function without intervention, and deterioration of SCI patient function is uncommon.<sup>71</sup> For example, most quadriplegic patients recover one spinal level of motor function after SCI, it is difficult to predict the preservation of discrete longitudinal fibre tracts and the likelihood of recovery of sensory and motor function. Difficulty in distinguishing between post-treatment improvements and spontaneous recovery might occur without intervention creates potential problems for interpreting the results of clinical trials. New techniques are needed to assess more effectively spinal cord tissue that is spared after clinical intervention and to predict accurately any spontaneous recovery of function<sup>37</sup> (**Table 9**). Collection of multi-disciplinary data mapping the natural history of changes in function in the period immediately following SCI.

Imaging the site of injury, for example, by MRI can indicate continuity across a lesion but does not indicate functional connectivity. Currently, electrophysiological assessments of sensory and motor tract function (eg: somatosensory evoked potentials) can indicate the presence of large, myelinated fibres in the dorsal columns but not finer fibres involved in recovering or remyelinating fibres. Therefore, ways to identify different fibre tracts are needed. Functional imaging using electrodes, which recognise unique patterns of activity in discrete fibre tracts. Functional imaging can also be used to map the natural history of changes in function in the period immediately following SCI.

developed, and it is likely that the combination of functional imaging with selective stimulation pathways through, for example, contact-heat-evoked-potential stimulation of C and A delta fibre analysis of baseline and functional improvements from interventions.

### **Horizontal capability 3**

#### **Clinical trials**

The many issues that surround optimisation of Clinical Trials of SCI treatments were discussed at the Clinical Trials Workshop on SCI.<sup>73</sup> Many SCI and other relevant (eg regulatory) communities worldwide have standards and guidelines for valid clinical trials could be developed and broadly accepted. One of the key issues is the establishment of a working group to bring forward detailed guidelines on how to develop clinical trials in an effective manner. Clearly, several of the issues relate to the adequacy of the animal model that is used to support the development of a treatment and to launch a clinical trial<sup>74</sup> (**Table 10** and Horizontal capability 1).

**Table 10 - Horizontal capability 3: Clinical trials.**

**Full table**

As a more general consideration, Research Governance applies to all who fund research proposals, conduct research, and host research in their organisation. This is the process that sets standards and defines these, requires monitoring and assessment, and improves research quality and safeguards the public interest in scientific quality, promoting good practice, and preventing poor performance and misconduct. To ensure that its research is conducted to the highest clinical standards, ISRT must ensure that its governance structures are appropriate to a research Strategy.

### **Horizontal capability 4**

#### **Promoting collaborative research**

The complexity of SCI in humans is such that multidisciplinary approaches are needed to understand the disease and to develop therapies to treat it. It is generally accepted that there is a need to continue to improve communication between the many different professionals involved in spinal cord injury research. Therefore, ISRT regards collaboration as one of the keys to success in achieving its mission. To this end, it encourages collaborations between the researchers it funds, both clinical and basic scientists.

**Table 11 - Horizontal capability 4: Promoting collaborative research.**

**Full table**

It is important for scientists to understand the general and specific problems associated with SCI. It might be necessary to foster the training and career progression of a new 'breed' of clinical scientists who can conduct clinical trials in SCI. Such individuals should be familiar with basic science and have clinical knowledge of the design, execution and evaluation of clinical trials. They should also be able to evaluate research findings of SCI patients and other clinicians. This is important because what might appear to be an exciting finding might have limited potential for translation to the clinic because of gaps in understanding between different clinicians.

A single centre where different experts, such as basic and clinical scientists and clinicians who can work together probably most effectively. From such a hub, a spoke organisation should be established to exchange compounds with other units, and to coordinate with other centres to enable sufficient patients to be recruited at the same time.

In addition, collaboration between basic researchers and clinicians should help to evaluate the causes of complete SCI and, consequently, to better understand neuronal plasticity and degradation. This will help to identify the different factors in determining the severity of functional loss after SCI, such as demyelination at the site, and link them to therapeutic approaches. An example might be the maintenance of neurons through specific, early-onset, functional training.<sup>75, 76</sup>

Contacts or collaborations outside SCI research should also be encouraged to make use of existing treatments and to ensure that mistakes are not repeated. For example, knowledge on the use of treatments that are more advanced in other areas of research such as haematology and cardiology, and this should be encouraged.

Collaborations with industry should be encouraged, both for support and as a source of new drugs and devices as a means of promoting international meetings where a wide spectrum of different aspects of SCI can be discussed.

## Conclusions

This latest Research Strategy from the ISRT builds on the previously published strategies<sup>1, 2</sup> by identifying recent advances in basic and clinical research that are relevant to restoration of function following SCI. This experience, identifying individual themes of basic and clinical research enables ISRT to focus resources on areas that would benefit from particular attention, and targeting specific research areas in this way maximises the effects of the available funding. As a research-based charity, ISRT intends grant funding directly by the themes described in this strategy document. However, this is not to say that other areas considered should there be sufficiently strong evidence of their potential.

In keeping with the policy of promoting debate between all interested parties, another purpose of this Strategy is to stimulate discussion of the relative merits of the themes and approaches that are described. The deliberately wide-ranging and inclusive with respect to the themes described, and individual views on these approaches are likely to differ. By promoting this discussion, ISRT hopes to encourage debate among patients and other interest groups about the many issues that are involved in developing and validating therapeutic advances in the near future.

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**Max**

04-20-2002, 05:14 PM

Gene therapy for spinal cord injury and disease [In Process Citation]

Selected

J Spinal Cord Med 2002 Spring;25(1):2-9 (ISSN: 1079-0268)

Poulsen DJ; Harrop JS; During MJ

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An incomplete understanding of the pathological processes involved in neurodegeneration and dysfunction of spinal cord injuries and diseases makes these disorders difficult to treat. Repair of damaged or genetically impaired spinal cord also has been limited by the complexity, cellular heterogeneity, and relative inaccessibility of the tissue. Thus, therapeutic options for the treatment of either chronic spinal cord diseases such as amyotrophic lateral sclerosis or acute spinal cord injuries have been rather limited. Potential new therapeutic targets are being identified as our understanding of the molecular pathology involved in neural injury and regeneration increases. Recent advances in gene transfer techniques have made gene therapy a more realistic and viable strategy for the treatment of a broad range of spinal cord disorders. This review summarizes the current state of knowledge regarding the limitations and recent advances in gene therapy and potential application of this technology toward spinal cord injury and disease.

[This message was edited by Wise Young on May 04, 2002 at 12:09 PM.]

**Wise Young**

04-24-2002, 03:36 PM

Max, if you post articles or abstracts... can you enter a brief description and why you want to post it in the header, and the actual article with the URL address to the body of the message? Thanks. Wise.

**perry**

04-24-2002, 04:09 PM

i have just heard the same thing from a person at the reeve's foundation. the primates studies will lead the way.  
gene therapy has been around for over 25 years, and now beginning to show success in animals. max,dr.wise how can we find out more.....

perry

**Wise Young**

04-25-2002, 10:02 AM

Perry, in spinal cord injury, there are two issues. The first is identification of beneficial (and deleterious) gene expression that can and should be manipulated in order to improve recovery, regeneration, remyelination. There are currently many laboratories systematically studying animal spinal cord injury models to identify regeneration-associated genes (RAGs), pain-associated genes (PAGs), neuroprotection-associated genes (NAGs), myelination-associated genes (MAGs), etc. Once identified, the expression of these genes can then be boosted or blocked in the spinal cord.

The second issue is the mechanism of changing gene expression. Gene therapy today is being carried out in several ways:

- Transgenic - the genes of an egg or sperm are modified and the subsequent organism then has a knockout (deleted), knockin (inserted), or dominant negative (an interfering gene) added. This is currently not an option for adult.
- In vivo transfection - the gene is inserted into certain cells by virus, liposomes, or other vectors. The viral

method is the most efficient and popular at the present but got into trouble recently, particularly adenovirus (the common cold virus), because it initiated fatal inflammation in one patient (Jesse Gelsinger). Many companies have touted other non-viral means of inserting genes that are generally less efficient but presumably safer.

- Ex vivo transfection and implantation of transfected cell - specifically cells can be removed from the body, transfected so that they express certain gene products, and then implanted back into the body. Actually, the first use of this technology in the CNS was for spinal cord injury (Tuszynski, et al.)

An alternative and growing approach to manipulating gene expression is with drugs. A large number of drugs and factors are known to turn off and on certain genes. For example, the tetracycline antibiotics are known to turn on certain genes. Likewise, there are many so-called nuclear factors that go into a cell and turn on genes, i.e. NF-kappa B is the factor that turns on inflammatory genes.

There is really no magic about gene expression. We just have much more powerful tools that allow us to measure and manipulate gene expression. So, once we know which genes we want to turn on and which to turn off or modulate, it can and will be done. Unfortunately, as a recent report in the Wall Street Journal suggests, the human genome project has not yielded a huge number of treatments for the pharmaceutical industry.

Despite massive investments, the number of drugs that have been approved by the FDA has fallen in the past three years compared to previous years. The reason is that people were expecting knowledge of the human gene to produce new drugs. They had not realized that the knowledge has produced the possibility of many drugs but the same amount of work and information must be gathered about each candidate drug before it can be successfully taken to clinical trial. Thus, the investment did not reduce the expense or time in developing the drugs. It just increased the number of potential drug candidates.

One of the most interesting outcomes of the human genome project is that it has shown us how similar humans are to other animals. I suspect that primate experiments will not be essential for moving all therapies into clinical trials. Scientists are working very hard to develop surrogate measures, using human cell cultures (stem cells, etc.) that allow testing of therapies without doing as many large animal experiments. Of course, the FDA continues to require large animal safety (toxicity) studies before clinical trial but every effort is being made to reduce the number of animals required.

Wise.



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**Ex vivo gene therapy for Alzheimer's disease and spinal cord injury.**

**Blesch A, Tuszynski M.**

Department of Neurosciences-0608, University of California-San Diego, La Jolla 92093-0608, USA.

Gene transfer is a potential means of treating chronic neurologic disorders and injury related neural degeneration. One approach for transferring genes to the CNS is to genetically modify cells *in vitro* and then transplant the cells to the CNS. For example, fibroblasts can be infected with a replication-defective retrovirus expressing a transgene, and can then be transplanted into the brain or spinal cord, thereby providing neurotrophic factors and substrates for axonal growth and elongation. In this review we discuss the grafting of neurotrophic factor secreting autologous fibroblasts in the rat and primate CNS. NGF secreting grafts have been shown to prevent degeneration of cholinergic neurons in the basal forebrain of primates and to induce sprouting of sensory, motor, and noradrenergic neurites after spinal cord injury. These results suggest the potential usefulness of ex vivo gene transfer for the treatment of Alzheimer's disease and spinal cord injury.

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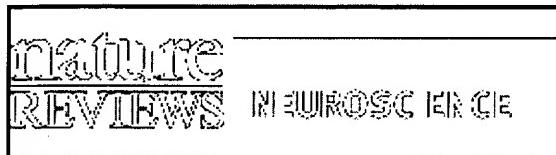
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## Therapeutic interventions after spinal cord injury

Sandrine Thuret<sup>1,4</sup>, Lawrence D. F. Moon<sup>2,4</sup> and Fred H. Gage<sup>3</sup> [About the authors](#)

### Abstract

Spinal cord injury (SCI) can lead to paraplegia or quadriplegia. Although there are no fully restorative, rehabilitative, cellular and molecular therapies have been tested in animal models. Many of these clinical trials. Here, we review these potential therapies, with an emphasis on the need for reproducibility. Individual therapies are unlikely to provide a panacea. Rather, we predict that combinatio

improvements in outcome after SCI. Basic scientific research should provide a rational basis for clinical therapies to different types of SCI.

- View [At a Glance](#)

Worldwide, an estimated 2.5 million people live with spinal cord injury (SCI), with more than 1 year (see [International Campaign for Cures of Spinal Cord Injury Paralysis](#) in Online links box) restorative therapies for SCI as yet and so prevention (for example, effective seat belts, weapons the best medicine (see [Foundation for Spinal Cord Injury Prevention, Care and Cure](#) in Online links box) have significant impact on quality of life, life expectancy and economic burden, with considerable cost loss of income. In one study, quadriplegics ranked recovery of arm and hand function as a prior recovery of sexual function as most important (when measured against recovery of bladder/bowel function, improving walking movements and trunk stability, regaining normal pain)<sup>1</sup>. Therapies addressing these and other important priorities (such as recovery of cardiovascular properties, skeletal muscular properties, and reducing spasticity) have been reviewed elsewhere<sup>2, 3, 4, 5, 6</sup>. Improvement in limb function, which is the focus of most ongoing animal studies and clinical trials for treatment

To identify therapies that are unambiguously safe and effective, the scientific and clinical SCI community must ensure that preclinical studies be reproduced by independent laboratories, and that clinical trials have an a priori unambiguous definition of primary outcome measures and any intended strategy methods that are sensitive enough to detect potentially small increments in function<sup>7, 8, 9</sup>. Seven trials evaluated independently under contractual arrangements between the National Institute of Neurological Disorders and Stroke (NINDS) and several Facilities of Research Excellence for SCI (FORE-SCI; see NINDS Facilities of Research Excellence for SCI in Online links box), including the Miami Project to Cure Paralysis and the Reeve-Irvine Research Center (see Reeve-Irvine Research Center in Online links box). For information on clinical trials, readers are directed to governmental and international consensus documents<sup>10</sup> and how US Food and Drug Administration regulatory processes relate to the standing of one SCI drug candidate (see [Translating promising strategies for spinal cord injury therapy](#) in Online links box)<sup>10</sup>.

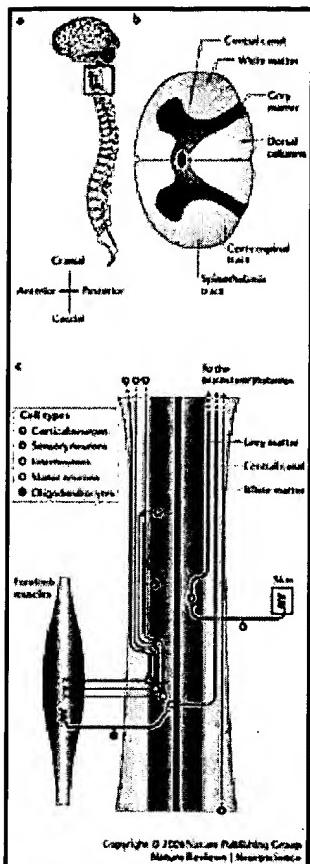
Here, we stress various cellular and molecular strategies that are supported by more than one preclinical study and that result in functional improvements after SCI; many of these strategies have reached, or are close to reaching, the clinical trial stage. Some of the potential therapies described below might produce only small improvements, and a combination of therapies will likely be needed to improve everyday quality of life. Speciality journals and general audience media need to be aware of the safety and efficacy of potential therapies to avoid raising and then dashing the hopes of those involved in the development of these therapies, those carrying out research, or the general public.

## **Endogenous response to SCI**

The normal architecture of the human spinal cord ([Fig. 1](#)) can be radically disrupted by injury. The outcome<sup>13, 14</sup> depends on the severity of the injury and can result from contusion, compression, penetration or maceration of the spinal cord tissue. Axons and glial cells, including neurons, oligodendrocytes, astrocytes and precursor cells<sup>15</sup> ([Fig. 2](#)), and any remaining myelin are lost. The descending and ascending axonal tracts, although circumferential white matter is often spared, are interrupted. Additional structure and function are lost through active secondary processes (fragmentation of myelin, demyelination, loss of oligodendrocytes and loss of myelin<sup>16</sup>). Demyelinated axons are observed up to a decade after SCI, during which time some of these axons survive unmyelinated or become remyelinated by central or peripheral myelin-forming cells (see [Investigation of the endogenous response to SCI](#) in Online links box)<sup>17, 18</sup>. Resident and invading inflammatory cells (including neutrophils, macrophages, microglia, and T cells) play a range of destructive and reparative roles<sup>19</sup>. SCI culminates in glial scarring, a multifactorial process involving astrocytes, glial progenitors, microglia and macrophages<sup>20, 21</sup>, fibroblasts and Schwann cells<sup>17</sup>.

perpendicular to the neuraxis and appears impenetrable. The scar also contains secreted and tracts of axon growth<sup>23, 24</sup>. Progressive expansion of the injury across more than one segment (syringomyelia months or years, sometimes proving fatal.

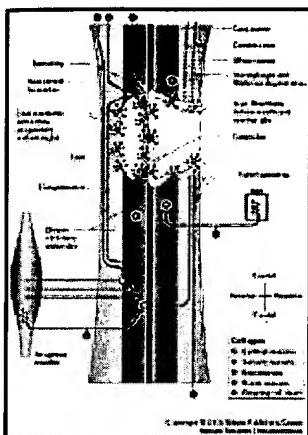
### **Figure 1 | Intact spinal cord.**



a | Schematic showing a sagittal view through the human CNS. b | Transverse section through h relationship between axonal tracts and grey matter. c | Cortical, brainstem and spinal axons pro grey matter, which in turn send axons through the PNS to target organs, including muscle. Prior through the PNS to second order sensory neurons in the CNS grey matter, which, in turn, send : dorsal columns to supraspinal regions. Oligodendrocytes myelinate ascending and descending a

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## **Figure 2 | Spinal cord after injury.**



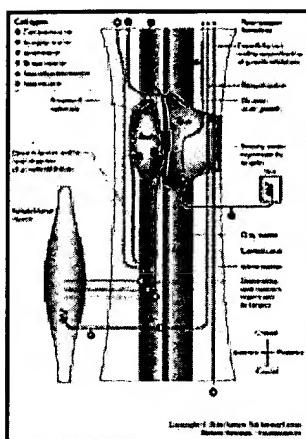
Schematic showing a sagittal view through a region of cervical spinal cord injury (SCI), depicting different types of injury. Many cells die immediately, as well as progressively, after SCI. Cysts usually form after penetrating injury, cells from the PNS often invade the injury site to form a connective tissue mass, progenitor cells and microglia. Many ascending and descending axons are interrupted and fail to regenerate. Some axons form new circuits with motor neurons via interneurons. At the site of cyst formation, new blood vessels are formed from ependymal cells. Disconnected myelinated axon segments are phagocytosed. Spontaneous remyelination occurs, largely by PNS Schwann cells, whereas denervated (non-spa

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In contrast to these destructive events, commonly observed pathological features do indicate some degree of repair (**Fig. 2**). Whereas there is little or no neurogenesis in the injured spinal cord, proliferation in the ventricular canal generates new precursor cells that exclusively differentiate into glial cells<sup>15, 26, 27, 28</sup>. Incomplete lesions might even be spanned by **trabeculae** containing axon sprouts<sup>25, 29</sup>. Sprouting is largely driven by molecular factors<sup>24, 30</sup>, and few axons regenerate over long distances back to their original target. In the cortex, brainstem and spinal cord, **plasticity** occurs that could contribute to limited compensatory reorganization. New circuits can bypass the lesion, including sprouting of injured corticospinal axons onto spared, long-distance projection neurons that increase connectivity with lumbar motor neurons<sup>33, 34</sup>. Cortical sensorimotor areas can functionally reorganize, and the subcortical level, the rubrospinal system can reorganize and compensate for much of the functional loss after injury<sup>31</sup>.

Therefore, although there is some spontaneous repair after CNS injury, it is incomplete. Further therapeutic intervention will require a combination of effective and safe therapeutic interventions (**Fig. 3**).

### **Figure 3 | Injured spinal cord after combination treatments.**



Schematic showing a sagittal view through injured cervical spinal cord after a hypothetical coml are filled by vascularized grafts and trabeculae are spared. Grafts provide remyelinating cells, ar regions and in intact spinal cord are neutralized using antibodies, peptides or enzymes. Grafted relay circuits or the regeneration of injured axons back to their original targets. Furthermore, re synapses to be stabilized and reverses muscle atrophy.

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## Cellular therapeutic interventions

Cellular transplantation after SCI has several aims: to bridge any cysts or cavities; to replace dead neurons or myelinating cells); and to create a favourable environment for axon regeneration.

***Transplantation of peripheral nerve.*** After SCI in adult rats, **autologous transplants** support ingrowth of various axonal types but not supraspinal axons<sup>35</sup>. Peripheral nerve grafts v therapies (including anti-inflammatory drugs, vertebral wiring, fibrin glue and acidic fibroblast with regeneration of supraspinal axons into, through and beyond grafts<sup>36, 37, 38, 39</sup>.

A similar strategy has been tested in non-human primates after lateral spinal hemisection<sup>40</sup>. No detected but some spinal axons were found to have regenerated 4 months after injury. This applies to chronic, incomplete human SCI, with one peer-reviewed report of limited functional recovery in control patients were investigated<sup>41</sup>. Anecdotally, this strategy has not proved successful in people. Much work remains to be done to determine whether therapies that involve peripheral nerve bridging effectively improve outcome after human SCI.

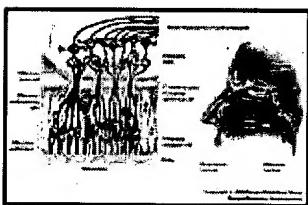
***Transplantation of Schwann cells.*** Schwann cells from peripheral nerves have been transplanted either being injected as suspensions after contusion injury<sup>42</sup> or implanted into channels containing the hemisection<sup>43</sup> or complete transection<sup>44</sup>. After transection and implantation of Schwann cells, the bodies near the grafts extend into these bridge grafts, become myelinated<sup>44</sup> and are electrophysiologically active. Axons do not leave grafts distally to reinnervate the host. After contusion and implantation of Schwann cells, sensory and spinal axons extend into grafts, and many are remyelinated<sup>42</sup>. Recovery of hindlimb function

some<sup>42</sup>, but not all<sup>46</sup>, studies. Consequently, combination therapies have been evaluated. After regeneration of CNS axons beyond bridges has been reported in response to transplantation of delivery of neurotrophins<sup>47, 48</sup>, a steroid (methylprednisolone sodium succinate)<sup>49</sup> or olfactory

Human Schwann cells have also been transplanted into the transected spinal cord of rats with a rats, brainstem axons regenerated into grafts and spinal axons regenerated distal to grafts. Function reported, although weight-supported stepping was observed in only one rat<sup>51</sup>. Finding the most combination therapy involving Schwann cells remains crucial. One important step towards human safety and efficacy of transplanting autologous Schwann cells into non-human primates after co been no peer-reviewed reports of clinical trials involving the transplantation of Schwann cells at

**Transplantation of olfactory nervous system cells.** Cells from the embryonic and adult have been transplanted after SCI. Indeed, porcine, primate and human cells are now being tested models of SCI and demyelination<sup>53, 54, 55, 56, 57</sup>. Functional recovery and/or CNS axon regeneration olfactory nervous system-derived cells are transplanted immediately or up to 2 months after SCI<sup>64, 65</sup>. After lateral cervical hemisection in adult rats, injection of cells from the olfactory bulb leads to function and enhanced performance on a climbing task<sup>58</sup>. These transplants might also prevent may enhance myelination after SCI<sup>68</sup>, although whether OEG directly myelinate axons after SCI<sup>69</sup>. Transplants of cells from the olfactory nervous system do not, however, promote CNS axon regeneration under all circumstances<sup>42, 67, 70, 71</sup>. FORE-SCI re-assessment of delayed transplantation of olfactory nerve fibres after transection of adult rat spinal cord failed to find any improvement in hindlimb function, although some improvements were found in caudal spinal cord tissue<sup>72</sup>.

#### **Figure 4 | The olfactory nervous system.**



Schematic of a sagittal section through the human head, showing the olfactory nervous system (inset). Stem cells at the base of the olfactory epithelium produce neurons throughout life, which extend axons de novo to the olfactory bulb. These axons are wrapped in myelin as they pass through the lamina propria from olfactory mucosa and into the CNS via the cribriform plate. Reproduced with permission, from Ref. 275 © (1996) TM Higher Education Group.

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Transplants of cells derived from fetal olfactory bulbs or the adult mucosa have reportedly already been performed in over 400 humans in China, Portugal and Colombia<sup>8, 73, 74</sup>. Many procedures do not meet international standards, and, because controls are not included and comprehensive follow-up studies have not been performed, the safety and efficacy of this intervention, although there are reports of improvements in motor and sensory function, remain to be determined.

independent case report describes rapid segmental improvement in a single patient classified as according to the American Spinal Injury Association (ASIA) Impairment Scale (**Box 1**) — who n quadriplegic<sup>75</sup>. An additional seven patients have been independently assessed pre- and post-op (including meningitis), and no clinically useful improvements, being observed. The view was ex recommend this procedure to patients<sup>74</sup>.

### **Box 1 | The ASIA Impairment Scale**

- **Full box**

Elsewhere, formal veterinary and human clinical trials using cells derived from the adult olfactory bulb have been transplanted autologously into nine dogs after naturally occurring thoracolumbar events up to 2 years later<sup>76</sup>. Some hindlimb function was recovered (including weight-support). Note, controls and blind testing are required in future trials.

In one **Phase I clinical trial** in humans, cells were collected from the adult human lamina propria into the spinal cord of three patients with thoracic injuries that had occurred at least 6 months previously. No adverse consequences were reported in these patients after 1 year, although no tests used for neurological assessment were reported; a 3-year follow-up study is planned. A large number of sensitive tests will be required to rule out the possibility that functional tissue has been developed in complete patients, particularly before applying this therapy to incomplete injuries.

It is necessary to establish whether there are conditions under which transplantation of cells from the olfactory bulb works reproducibly to promote plasticity, regeneration, remyelination, neuroprotection and/or other issues to be resolved include the optimal source of cells (lamina propria versus olfactory bulb), the graft strategy (for example, injection of suspensions or transfer within cellular matrix). It will also be important to determine whether enriching cultures for specific phenotypes of cells improves outcome<sup>58, 69, 78, 79</sup>.

**Transplantation of embryonic CNS tissue.** After spinal cord transection in animal models, cells from the lesion site, a small number of host axons regenerate into the transplant but terminate at the border<sup>80, 81</sup>. Small but significant functional recovery is observed in rats<sup>82, 83</sup> and cats<sup>84</sup>. This distance growth into, through and beyond grafts, and the authors suggest that it is instead caused by relays, affording transmission of signals via transplanted neurons, which are innervated by proximal host neurons. Grafts might also provide growth factors or improve conduction in some cases. If spinal cord transplants are combined with neurotrophin delivery after complete spinal cord transection, functional recovery is observed<sup>87</sup>, with some supraspinal and propriospinal axons growing into the caudal funicular.

Intraspinal transplantation of fetal spinal cord has been tested in a clinical trial involving patients. Complications were observed and cysts were obliterated in all the patients. These trials have not replaced standard treatment for SCI or syringomyelia<sup>7</sup>, perhaps because of the difficulties associated with the procedure.

**Transplantation of embryonic stem/progenitor cells.** Multipotent progenitor cells can self-renew and stem cells can self-renew indefinitely and differentiate into any cell type. Three of the major challenges in repairing the nervous system after SCI are controlling the survival, integration and differentiation of transplanted cells.

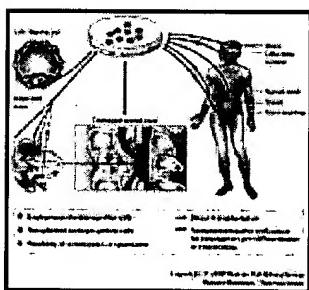
functional recovery by reconstituting damaged circuits, remyelinating axons, and increasing pla  
Many groups have studied the fate of stem cells<sup>91, 92</sup> or progenitor cells<sup>93, 94, 95, 96, 97, 98, 99</sup>  
embryonic CNS or human umbilical cord blood and transplanted into the injured adult rodent s  
reported modest improvements in functional recovery<sup>91, 100, 101</sup>.

The potential of human fetal stem cells in animal models of SCI is currently being investigated.  
human fetuses have been transplanted into immunosuppressed mice<sup>102</sup> and non-human prima  
cases, the transplanted cells survived and differentiated into cells with characteristics of oligode  
associated with locomotor improvements<sup>102, 103</sup>.

The most recent successful approach with embryonic CNS-derived stem/progenitor cells is to u  
pre-differentiated to a desired lineage before transplantation. Transplantation in rats of neuron  
contusion injury improved bladder and motor function. The cells survived, filled the lesion site,  
some characteristics of neurons and glia, resulting in sparing/sprouting of descending pathway:  
embryonic stem cell (ESC)-derived oligodendrocyte-restricted progenitor cells into the adult rat  
enhanced remyelination and promoted improvement of motor function. The cells survived, mig  
differentiated into oligodendrocytes. By contrast, when cells were transplanted 10 months after  
remyelination or locomotor recovery<sup>105, 106</sup>. This study is being considered for FORE-SCI repl  
**Cure Paralysis** (see Online links box).

**Transplantation of adult stem/progenitor cells.** Adult stem cells are now being conside  
contrast to ESC transplantation, adult stem cell transplantation should reduce ethical concerns  
should not be rejected. Various adult progenitor cells have been implanted in rodent models of  
olfactory system (see above) to bone marrow-derived stem cells, cultured spinal cord and brains  
cells<sup>107</sup> (**Fig. 5**).

**Figure 5 | Potential sources of stem/progenitor cells for transplantation into the i**



Stem/progenitor cells can be collected at three different stages of development: from the inner c  
blastocyst; from the brain, spinal cord, olfactory system or umbilical cord of the fetus; and from  
system, bone marrow or blood of the adult. Each of these cell populations can be propagated in  
produce a molecule of interest, or be restricted to a particular cell fate before transplantation in  
these cells (those of fetal CNS origin and umbilical cord blood cells) could eventually be transpl;  
Some of these cells have the potential to be used for autologous transplantation, including cells  
umbilical cord blood cells (which can be frozen at birth for use in later life), haematopoietic ster  
cells. Also, endogenous stem/progenitor cells are present at the injury site and are actively divid  
and fate might provide an alternative to transplantation. This diagram is based on published da  
**95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 108, 109, 110, 111, 113, 114, 115, 116, 117, 118, 119, 120,**

transplantation after SCI in animals.

- [\*\*High resolution image and legend \(46 KB\)\*\*](#)
- [\*\*Figures and tables index\*\*](#)
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## **Box 2 | Ethics of spinal cord injury research and clinical trials**

- [\*\*Full box\*\*](#)

Adult bone marrow contains several different stem cell populations, including haematopoietic s stromal cells (BMSCs), also known as mesenchymal stem cells (**Box 3**). Transplantation of HSC after compression-induced SCI in mice<sup>108, 109</sup> and transplantation of BMSCs significantly imp mice and rats<sup>109, 110, 111</sup>. However, the potential mechanisms by which BMSCs act are currentl and axonal elongation-facilitating actions have been proposed<sup>110</sup>. Also, the functional outcome: caution because many are primarily based on one evaluation protocol without other behavioura A small-scale human trial was conducted in which autologous BMSCs were intravenously deliv improvements observed appeared to fall within an expected range of spontaneous recovery, and ASIA category B to D. Nevertheless, without controls or some indication of cell viability within t that a measure of procedural safety was demonstrated. To our knowledge, peer-reviewed results study<sup>8</sup>.

## **Box 3 | Bone marrow cells**

- [\*\*Full box\*\*](#)

Adult neural progenitor cells (NPCs), isolated from the dentate gyrus, the subventricular zone o self-renew, and to be multipotent in vitro and after transplantation into the CNS<sup>112, 113</sup>. After t the intact and injured murine spinal cord, differentiation into only astrocytes or oligodendrocyt mouse brain-derived adult NPCs were transplanted into the injured spinal cord of adult rats. Th growth factors to selectively increase the number of oligodendrocyte precursors after transplant post-injury survived, migrated, integrated in the injured spinal cord tissue, generated mature ol the injured axons, and promoted some functional recovery. However, NPCs transplanted 8 wee failed to exert similar effects<sup>117</sup>. Therefore, there is a need to find and neutralize the inhibitory c interfere with NPC survival after transplantation.

Adult neural stem cells also reside in the spinal cord<sup>118</sup>, and the ability to regulate their numbers provide an alternative to transplantation. To regulate their numbers and fate to promote recove which molecules are involved in governing neural stem cell proliferation, migration and differen endogenous stem cells would require no exogenous stem cell sources and would therefore circu rejection, as well as the ethical and moral considerations associated with their use.

***Transplantation of engineered stem/progenitor cells.*** The injured adult spinal cord is survival, neuronal differentiation and maturation. Therefore, to enhance the capacity of stem ce recently begun to engineer stem cells to have better survival, and desired differentiation and ma attempt to increase the survival of transplanted rat ESCs, ESCs were genetically modified to ove

protein. This led to tumour-like growth of cells, accompanied by increased morbidity and mortality transplanted in the compressed mouse spinal cord, engineered mouse ESCs expressing the cell: longer and migrated rostrally and caudally from the lesion. Corticospinal axons showed interdigitation and extended into and, in some cases, beyond the lesion site<sup>120</sup>.

It is apparent that transplantation alone of stem/progenitor cells after SCI will not lead to optimum function will be necessary for optimum return of function. Advances in molecular biology (for example, manipulation of these cells to express molecules of interest<sup>121, 122</sup>). These types of combination further development and careful animal testing, individually and jointly, before any clinical trials.

**Transplantation of activated macrophages.** It has been suggested that the failure of the treatment attributed to the nature of the macrophage response<sup>123</sup>, which differs from that observed in the recovery of hindlimb function has been reported after transection and transplantation of activated macrophages with PNS or skin tissue. Fibres were shown to extend through the lesion, and re-transsection of the previously recovered functions<sup>124, 125</sup>. However, the degree of recovery was comparable to that of other cell types and occurred only in a subgroup of rats<sup>126</sup>.

By contrast, activation of intrinsic macrophages at the spinal contusion site with micro-injection had negative effects on hindlimb functional recovery and tissue survival<sup>127</sup>. Depletion of macrophages resulted in better hindlimb usage during overground locomotion, more extensive white matter sparing and reduced gliosis.

There is, therefore, evidence that macrophages have deleterious effects on functional recovery, and it would be advantageous to replicate these studies in independent laboratories. Moreover, no peer-reviewed reports of transplantation of activated macrophages in non-human primates have been described.

Proneuron sponsored Phase I clinical trials on the transplantation of activated macrophages in France and Belgium (see **Proneuron** in Online links box). Blood-derived monocytes activated using bone marrow from eight ASIA category A participants between 9 and 14 days after injury. No irresolvable adverse events were reported. Two participants improved to ASIA category C, which was claimed to be well above the expected rate. A multicentre, randomized controlled, **Phase II clinical trial** for ASIA category A participants is currently ongoing in Israel, but recruitment for this clinical trial has currently been suspended for financial reasons (see **Cure Paralysis** in Online links box).

## Molecular therapeutic interventions

Molecular therapies after SCI have several aims: to protect neurons from secondary cell death; to enhance conduction.

**Neuroprotective therapies.** Substantial effort has been devoted to limiting the evolution of secondary damage after SCI (and, potentially, for accompanying sural nerve regeneration<sup>130</sup>). Delivery of antibodies against a cell adhesion molecule present on neutrophils and monocytes reduces secondary damage after SCI in rats and improves motor function while reducing both autonomic dysreflexia and spasticity. Erythropoietin has been reported to improve outcome<sup>130</sup>, although this finding might not be replicated (see **Cure Paralysis** in Online links box). Several studies have also recently reported that intravenous infusion of platelet-rich plasma improves hindlimb function in mouse and rat models of SCI<sup>132, 133, 134</sup>, and this common intervention is currently being evaluated in early clinical trials for SCI<sup>9</sup>.

Intravenous steroids (for example, methylprednisolone sodium succinate; MP) have been registered in many countries<sup>135</sup>. There is considerable debate as to whether MP has been proved to be safe and effective<sup>136, 137, 138, 139, 140</sup>. Treatment is claimed, controversially, to be beneficial if an appropriate regimen is used, the type of injury and whether more than 3 or 8 hours have elapsed since incurring the injury; however, treatment, incorrect dosing or treatment of penetrating SCI has been shown to be detrimental<sup>132</sup>. Several randomized trials examined whether modest improvements have been shown using MP, GM-12000, thyrotropin-releasing hormone (TRH), nimodipine and the NMDA (N-methyl-D-aspartate) antagonist gacyclidine<sup>8</sup>. In these trials, primary outcome measures were not significant and placebo controls were lacking. When improvements have been observed, these were often based on post hoc stratification, and severe side effects were also reported. Trials of neuroprotective agents have shown that large multi-centre, double-blind studies for SCI require placebo-controlled **Phase III clinical trials**, with primary outcomes clearly recorded a priori, to demonstrate highly effective and safe neuroprotective therapies for human SCI<sup>10, 11</sup>.

**Enhancing conduction.** Electrophysiological studies of humans with chronic SCI indicate that demyelination and remyelination and that only a proportion become remyelinated (although denuded axons might regenerate). Remyelination (by host or transplanted glia) or enhancement of conduction could yet prove useful. A potassium channel blocker (diphenylhydantoin or aminopyridine) that can improve axonal conduction has been tested in several double-blind, placebo-controlled trials in patients with chronic SCI<sup>17</sup>. However, Acorda Therapeutics' Phase III clinical trials of an oral, sustained-release formulation of aminopyridine showed a trend for improvements only in spasticity (see **Acorda Therapeutics**).

**Delivery of growth factors.** Growth factors modulate neuronal survival, neurite outgrowth, neurogenesis and neurotransmission. Exogenous administration of growth factors has been proposed as one potential therapeutic approach. The effectiveness of this approach has been tested using, for example, brain-derived neurotrophic factor (BDNF)<sup>145, 146, 147</sup>, basic fibroblast growth factor<sup>148</sup>, glial cell-derived neurotrophic factor (GDNF)<sup>145, 146, 149, 150</sup>, and neurotrophin 3 (NT3)<sup>141, 145, 146, 151, 152</sup>, NT4 and NT5 (Ref. 153). Growth factors can be delivered to the spinal cord by transient injection<sup>154</sup>, continuous infusion<sup>143, 144</sup> or insertion of an artificial carrier<sup>142</sup>. Ex vivo gene therapy involves grafting cells, usually fibroblasts, that have been transduced with growth factors<sup>145, 146, 151, 152, 153</sup>. In vivo delivery of growth factors has also been achieved using adeno-associated virus<sup>155, 156</sup>, adeno-associated virus (AAV) and lentivirus<sup>157</sup> (see below).

After SCI, the exogenous delivery of NGF in rats can induce growth of corticospinal axons<sup>158, 159</sup>, rubrospinal, reticulospinal, vestibulospinal, raphespinal, and local sensory and motor axons<sup>161</sup>, and BDNF improves bladder and hindlimb function after a mid-thoracic contusion<sup>152</sup>, and GDNF improves dorsal column sensory axons after partial and complete spinal cord transections and induces recovery<sup>143</sup>. While delivery of growth factors alone leads to only partial recovery, researchers are now combining them with other therapeutic approaches: OEG transplants and NT3 (Ref. 67); marrow stroma cell transplantation with serotonergic agonists and NT3 (Ref. 166). In addition, delayed delivery of growth factors may be more effective than acute delivery because axons of chronically injured neurons can lack appropriate growth factor receptors<sup>167</sup>.

Unfortunately, clinical trials using systemic delivery of growth factors for various disorders have either demonstrated no efficacy or unacceptable side effects, or both<sup>168</sup>. Obviously, to avoid adverse effects, growth factors need to be delivered in sufficient quantities to have an effect but their distribution must be restricted to the site at which they are required. In vivo NGF gene delivery in patients with Alzheimer's disease by implanting autologous fibroblasts expressing human NGF in the forebrain showed promising results, with no side effects attributable to the drug<sup>169</sup>.

of the rate of cognitive decline<sup>169</sup>. However, to move forward with the clinical application of g<sup>c</sup> further work is required to show whether this promotes CNS axon regeneration and leads to fur human primates.

**Delivery of cAMP or small GTPases.** Cyclic AMP (cAMP) can induce axonal sprouting of c and of injured adult rat spinal sensory neurons in vivo when prophylactically applied<sup>171, 173, 174</sup>. therapy needs to be effective when applied after SCI. In zebrafish, post-injury application of cAMP CNS axons and restored function<sup>175</sup>. After injury, the CNS environment is more permissive for. Therefore, elevating cAMP levels after SCI has been tried in combination with other treatments. locomotion were observed<sup>176, 177</sup> after delivery of Rolipram (which prevents the hydrolysis of c transplants<sup>176</sup>, and after administration of the combination of Schwann cells, a cAMP analogue any human clinical tests can begin, therapeutic windows of delivery of cAMP analogues must be delivery established, ideally in contusion injury models in rodents or primates.

Other strategies targeting molecules that are intrinsic to neurons could be viable, with modulatory approach. Many factors that limit axon regeneration (see below) signal to the neuronal cytoskeleton Rho and Rac<sup>178, 179, 180</sup>. Inhibition of Rho by a bacterial toxin, C3-ADP-ribosyltransferase, produced degree of functional recovery after dorsal hemisection injury in adult rats<sup>181</sup>, although these results study<sup>182</sup>. Side effects have also been reported<sup>182, 183</sup> and, although potential explanations have efficacy of small GTPase modulation need to be further evaluated before their use for human SC. Therapeutic has developed a cell-permeable variant of a Rho inhibitor known as Cethrin (BA-21 multi-centre Phase I/IIa trial that will include ASIA category A patients who are scheduled to receive days of thoracic SCI; Cethrin will be applied using fibrin<sup>184</sup> (see **BioAxone Therapeutic** in O

Rho kinase (ROCK) acts as a downstream effector of Rho<sup>186</sup>. Inhibition of ROCK by a peptide-based molecule inhibitors stimulated or accelerated functional recovery, and had a neuroprotective effect in models when given locally or systemically immediately after injury either as a single dose or over<sup>188</sup>. However, it should be kept in mind that ROCK inhibitors have teratogenic potential<sup>189</sup> and functions of small GTPases might reduce the therapeutic specificity of the compounds that mod

**Modulation of interactions with myelin inhibitors.** Intact and injured CNS myelin contains molecules (including Nogo-A, myelin-associated glycoprotein, oligodendrocyte myelin glycoprotein, ephrin B3)<sup>190, 191, 192, 193</sup>. Various therapies have been developed to target and overcome these. delivery of anti-Nogo therapeutics, independent laboratories report CNS axon growth and recovery<sup>195, 196, 197, 198, 199</sup>, although not all<sup>200, 201</sup>, rodent models of SCI, and report no nociceptive effect against Nogo-A have recently been shown to promote growth of corticospinal tract axons after dorsal hemisection in four out of five marmoset monkeys tested<sup>192</sup>. Future experiments might show whether improve outcome in contusion or compression models of SCI. Phase I clinical trials using human NgR(310)ecto-Fc in progress for ASIA category A patients with thoracic SCI in association with Novartis (M. Schy

Therapies targeting molecules in receptor complexes for Nogo-A<sup>203</sup> are also being tested. In some studies, Nogo receptor or NGF receptor leads to CNS axon growth and functional recovery<sup>204</sup>, factors in the negative studies need to be elucidated because these could be important future targets. delivery of NgR(310)ecto-Fc enhances corticospinal and raphe spinal axon growth after dorsal hemisection in rats and enhances electrophysiological and behavioural recovery<sup>209, 210</sup>. Delayed, subcutaneous

promotes growth of corticospinal axons and serotonergic fibres and a degree of locomotor recovery after hemisection<sup>211, 212</sup>: independent testing of NEP1–40 by one FORE-SCI centre is underway (see **Centre** in Online links box).

**Extracellular matrix modifiers.** Transient suppression of collagen synthesis promotes CNS regeneration and, when combined with an analogue of cAMP, it has been reported to promote CNS axon regeneration after acute SCI<sup>214</sup> (but see Ref. 215 for a contrasting result). Neuraxo has reported its intention to develop combination therapy, which they have designated Cordaneurin, in human SCI (see **Neuraxo** in Online links box). However, it would be valuable to reproduce these results independently, and to carry out studies in primates.

In adult rats, degradation of growth-inhibitory chondroitin sulphate by delivery of the bacterial enzyme ChABC promotes regeneration of injured CNS axons and recovery of function after dorsal column hemisection in adult rats, delivery of ChABC promotes regrowth of axons from spinal nerve grafts<sup>218</sup> and regrowth of CNS axons into the spinal cord beyond hemichannel bridges created after complete transection and implantation of channels containing Schwann cells, delivery of ChABC promotes serotonergic axons beyond grafts<sup>220</sup>. Intrathecal delivery of ChABC also promotes recovery of function following severe (although not moderate) thoracic contusion injury in adult rats<sup>221</sup>. Tests for efficacy in human primate models of SCI remain to be reported. Seikagaku is testing ChABC in Phase II clinical trials (see **Seikagaku Corporation** in Online links box), which could aid translation to treatment in humans.

## Rehabilitative training

Improved locomotor function is often seen in mammals with incomplete and even complete SCI during rehabilitation<sup>222</sup>. Locomotor training even enhances the ability of many spinally transected mammals to walk again if body-weight support is provided<sup>31, 223, 224</sup>. This improvement occurs because, after SCI, the spinal cord does not become silent but maintains active and functional neuronal properties, and can respond to sensory input at the level of the injury. It can generate oscillating coordinated motor patterns and is capable of controlling the lower limb. Increasing numbers of animal experiments combine rehabilitation/physical therapy with other treatments to promote regeneration and recovery of limb function<sup>228, 229, 230, 231</sup>.

Many SCI clinical trials that are currently recruiting participants or are already in progress add locomotor training to other forms of rehabilitation, including upper-extremity exercise, body-weight-supported treadmill training, robotic or manual assistive devices, and functional electrical stimulation (FES) (see **Clinical Trials.gov** in Online links box)<sup>2</sup>. Such trials have shown that it is not clear empirically which types of locomotor training and rehabilitation are optimal for recovery of function. Locomotor training enhances the ability of humans with neurologically complete SCI to walk on a treadmill with body-weight support if body-weight support is provided<sup>31, 223, 224</sup>, although rehabilitation does not yet enable patients with complete SCI to walk unassisted overground<sup>233</sup>. FES of the dorsal surface of the spinal cord can induce step-like movements and corresponding electromyographic activity in the leg muscles in patients with complete SCI<sup>226</sup>. A recent centre trial has shown that many patients with recent, incomplete SCI achieve independent walking, either using conventional devices or using body-weight-supported treadmill training<sup>234, 235</sup>. Patients with incomplete SCI also benefit from treadmill or overground locomotor training: for example, improvements are seen in the gait of patients with incomplete SCI (although outside the testing environment, participants did not walk independently of their wheelchair). An ASIA category C patient reported that a combination of treadmill training and spinal cord epidural stimulation increased the quantity of stepping during the training session and resulted in an immediate improvement in the patient's gait.

superior to that obtained with only treadmill training<sup>236</sup>. Therefore, the combination of central peripherally (locomotor training) induced stepping appears to be an effective method for restoration of normal supraspinal input and should be explored further. Improvements in health have also been reported including improved cardiovascular performance and reductions in spasticity, bone loss and bladder function.

The mechanisms by which physical therapy or rehabilitation improve function after SCI need to be understood. Exercise training could allow for rational improvement in therapy. Experimentation is also vital to identify safe and effective exercise regimens. Exercise can pose special risks to people with SCI, including autonomic dysreflexia, fracture or rhabdomyolysis. People with SCI have atypical physiological responses to exercise (for example, abnormal heart rate and blood pressure changes) that may limit their ability to sustain intense exercise<sup>2</sup>. Inappropriate exercise could also be detrimental after SCI<sup>238, 239</sup>. Exercise is a potential confounding factor in clinical trials because it is difficult to control, although we should encourage its use without strong justification.

Despite the documented advantages of exercise and rehabilitation, a US survey of quadriplegics reported having no access to exercise, and a further ~45% reported having to exercise on their own initiative<sup>1</sup>. Therefore, much remains to be done politically to ensure that therapies that are made available to individuals with SCI.

## Technical aspects

**Translating cellular therapies to the clinic.** Because autologous transplants of cells or tissue are subject to immunosuppression to escape immune rejection, they represent an attractive therapeutic option. A suitable source for autologous grafts of peripheral nerves or Schwann cells because only a minor deficit is required for a successful outcome. The olfactory mucosa is more accessible than the olfactory bulb for autologous transplantation. Autologous transplants using tissue from the olfactory bulb have been carried out in dogs<sup>76</sup>. Exogenous growth factors such as neurotrophins<sup>54</sup> or other mitogens<sup>241</sup> might be possible when the amount of tissue is limiting, but proliferation after transplantation needs to be prevented<sup>242</sup>.

Cellular suspensions can be transplanted into the acute, post-injury milieu or into irregularly shaped areas later in the injured spinal cord. Tissue grafts (for example, peripheral nerve grafts) are perhaps best suited for anatomically incomplete injuries or for external routing (for example, direct suture). Other routes of administration might include delivery of cells into the cerebrospinal fluid by lumbar puncture, with the cells migrating towards the injury and exert a beneficial effect by reducing injury size<sup>243, 244</sup>. Lumbar puncture has the advantage of minimal invasiveness, simplicity and low cost.

Cells could also be genetically modified to deliver therapeutic molecules<sup>145, 146, 151, 152, 153, 160</sup>. These include fibroblasts, ESCs, neural stem/progenitor cells, OEG and Schwann cells. However, in most cases transplanted cells die after transplantation and are replaced by host cells<sup>245, 246, 247</sup>. Although this might still confer benefits, ensuring survival of the cells and controlling regulation of expression is a key issue for transgenic delivery. Identifying transplanted cells requires the use of a marker that neither induces nor transfers to host cells<sup>246</sup>.

The protective or reparative potential of transplants of a given cell type can be established only by comparison with alternative cell types (rather than merely injections of fluid). With regard to complete injuries, cures are unlikely regardless of the cell type transplanted<sup>126</sup>; a goal for the future (currently elusive) will be to enable the transplanted cells to support body weight<sup>226</sup>. Finally, it might be short-sighted to select a cell type for a clinical trial

cell types within a single experiment. If the race to clinical trial results in one cell type becoming evaluated against other cell types, then other (potentially better) cells might not be easily difficult to deny a clinical trial participant a therapy that has already been shown to be partially case when evaluating potential drug alternatives to MP<sup>138</sup>.

**Translating molecular therapies to the clinic.** Techniques to deliver molecular therapies: intracerebroventricular, intrathecal and intraspinal injection, continuous infusion or insertion of molecule of interest. Viral vector-mediated transfer of molecules to the injured spinal cord is an strategy<sup>157</sup>. In vivo gene therapy has been tested in models of SCI using viruses, including herpes lentivirus and Moloney leukaemia virus<sup>248</sup>. Particularly interesting is the finding that AAV, which retrogradely transported efficiently to motor neurons of the spinal cord<sup>249</sup>. It is an efficient tool factor 1 and it extends life expectancy in a murine model of motor neuron disease<sup>249</sup>. AAV-mediated paraplegin also rescued peripheral axonopathy in a model of hereditary spastic paraparesis<sup>250</sup>. Such injections could be a method for delivering a therapeutic molecule after SCI. However, implementation research to determine the best AAV serotypes to target motor neurons efficiently, and retrogradely tested in the context of SCI.

An opportunity exists for tailoring therapies to different types of injury. For example, if regeneration is desired, knowledge of the receptors expressed on the cell body and axon will inform whether this particular neurotrophin, and where this factor might best be applied. Similarly, there might be a molecule that neutralizes a given inhibitory receptor if this molecule is not expressed by the axons that are affected. A rational basis for intervening with a given therapy by meticulously investigating the mechanisms.

**Preclinical testing.** Many preclinical therapies have not been shown to be safe and efficacious. Independent replication is extremely desirable to determine the general applicability of a therapy. Potential therapies should be tested in models that closely approximate the human injury subtleties. Injuries in dogs, as well as surgically induced injuries in non-human primates, can be used advantageously. Response to SCI, although studied surprisingly little, has been examined after contusion injury<sup>11</sup>. Differences between rodent, cat, dog and primate nervous systems<sup>126</sup>, many recommend that they be tested in primates for safety and efficacy<sup>10, 11</sup>. Despite the paucity of safety and efficacy studies using non-human primates and trials in humans are currently in progress<sup>8</sup>. This trend is of particular concern given that some therapies, including transplants of stem cells or cells from the olfactory nervous system, can induce pain-related growth of sensory and sympathetic axons when tested in rodent models of SCI<sup>72, 110, 254, 255</sup>. Nociception, autonomic dysreflexia and spasticity should therefore take place in animal models of SCI to ensure that therapies neither induce adverse consequences nor interfere with the natural function that can occur. For example, when transplanting cells, care should be taken not to ablate the trabeculae or axons spared in circumferential white matter<sup>29, 256</sup>.

There are also relatively few studies that report outcomes after intervening more than 1 month post-injury<sup>258</sup>, and, of these, many fail to detect improvements in axon growth or functional recovery. This is likely due to the fact that repair is to be achieved in individuals with long-standing injuries. Additionally, relatively few studies have gone on to determine whether these changes remain stable beyond 2 or 3 months.

**Clinical trials networks.** Various databases of patients with SCI have been established to facilitate follow-up of patients after SCI and to enlist and document patients that might be suitable for particular clinical trials. European trial networks have been established to be ready to implement interventions across multiple centres.

standardized evaluation using clinical outcome measures, imaging and neurophysiological stimuli. Researchers and others, including the FORE-SCI groups, are developing additional tests of sensory function that will allow more sensitive assessment of recovery of function after SCI<sup>7</sup>.

## Conclusions

SCI is a devastating condition for which there is as yet no cure. Cellular, molecular and rehabilitation therapies have been developed and some are now in, or moving towards, clinical trials. Nevertheless, work remains to be done to ensure that all of these therapies can safely improve outcome after human SCI. To distinguish therapies that are likely to be effective, the scientific and clinical SCI communities recommend that preclinical studies should be reproducible and clinically relevant. Individual therapies are unlikely to emerge as a cure for SCI. Rather, we predict that tailored combinations of therapies will result in cumulative improvements in outcome after different types of SCI.

## Links

### FURTHER INFORMATION

- [Acorda Therapeutics](#)
- [American Spinal Injury Association](#)
- [BioAxone Therapeutic](#)
- [Christopher Reeve Foundation](#)
- [Clinical Trials.gov](#)
- [Foundation for Spinal Cord Injury Prevention, Care and Cure](#)
- [International Campaign for Cures of Spinal Cord Injury Paralysis](#)
- [Miami Project to Cure Paralysis \(FORE-SCI\)](#)
- [National Institute of Neurological Disorders and Stroke \(NINDS\) Facilities of Cord Injury](#)
- [Neuraxo Biopharmaceuticals](#)
- [NINDS workshop on translating promising strategies for spinal cord injury treatment](#)
- [Proneuron](#)
- [Reeve–Irvine Research Centre, University of California, Irvine \(FORE-SCI\)](#)
- [Seikagaku Corporation](#)

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## Competing interests statement

The authors declare [competing financial interests](#).

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